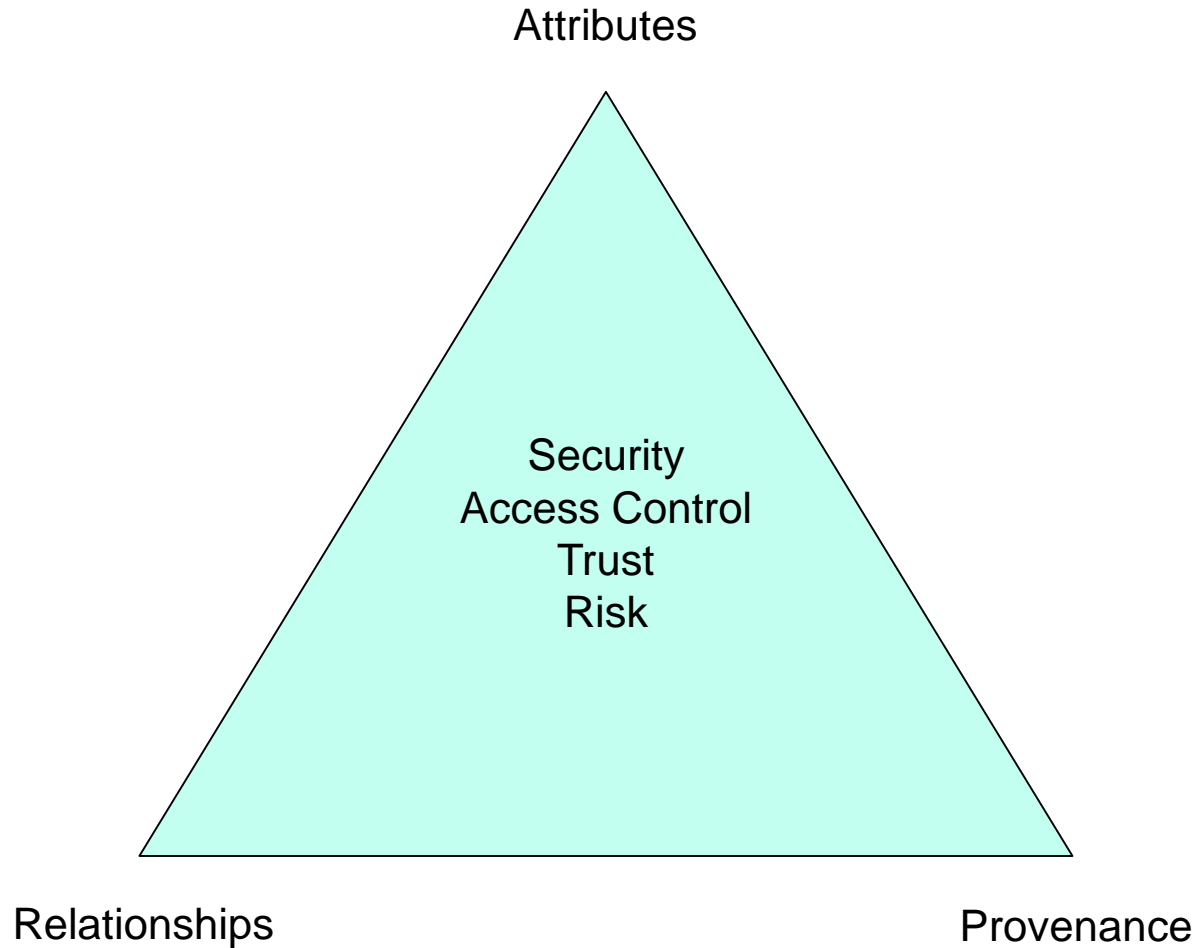


Provenance-Based Access Control (PBAC)

Prof. Ravi Sandhu
Executive Director and Endowed Chair

April 15, 2016

ravi.sandhu@utsa.edu
www.profsandhu.com



Art definition of provenance

- Essential in judging authenticity and evaluating worth.

Data provenance in computing systems

- Is different from log data.
- Contains linkage of information pieces.
- Is utilized in different computing areas.

- Information of operations/transactions performed against data objects and versions
 - **Actions** that were performed against data
 - **Acting Users/Subjects** who performed actions on data
 - **Data Objects used** for actions
 - **Data Objects generated** from actions
 - **Additional Contextual Information** of the above entities
- **Directed Acyclic Graph (DAG)**
- **Causality dependencies** between entities (acting users / subjects, action processes and data objects)
- Dependency graph can be traversed for the discovery of **Origin, usage, versioning info, etc.**

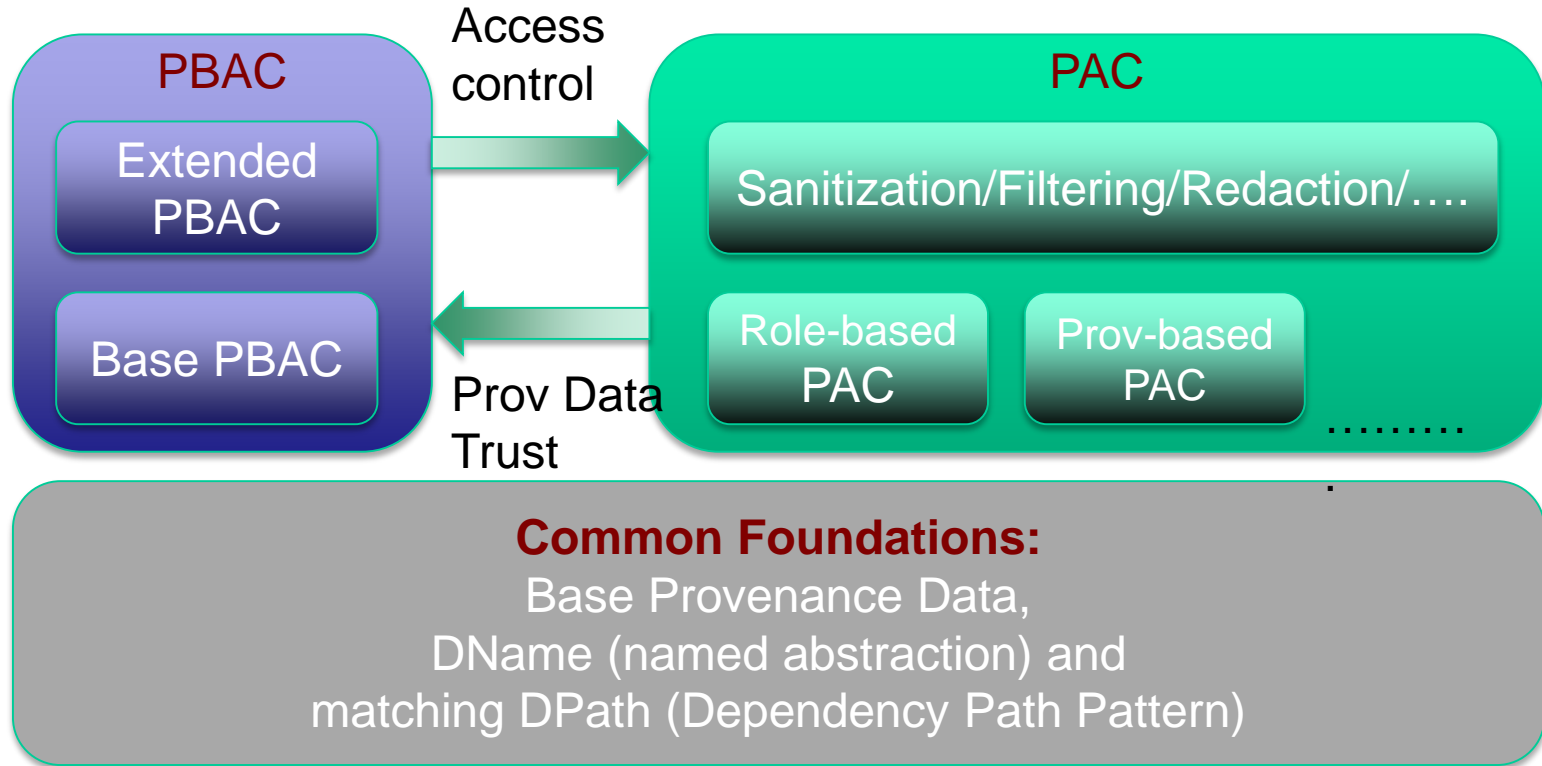
- **Capturing** provenance data
- **Storing** provenance data
- **Querying** provenance data

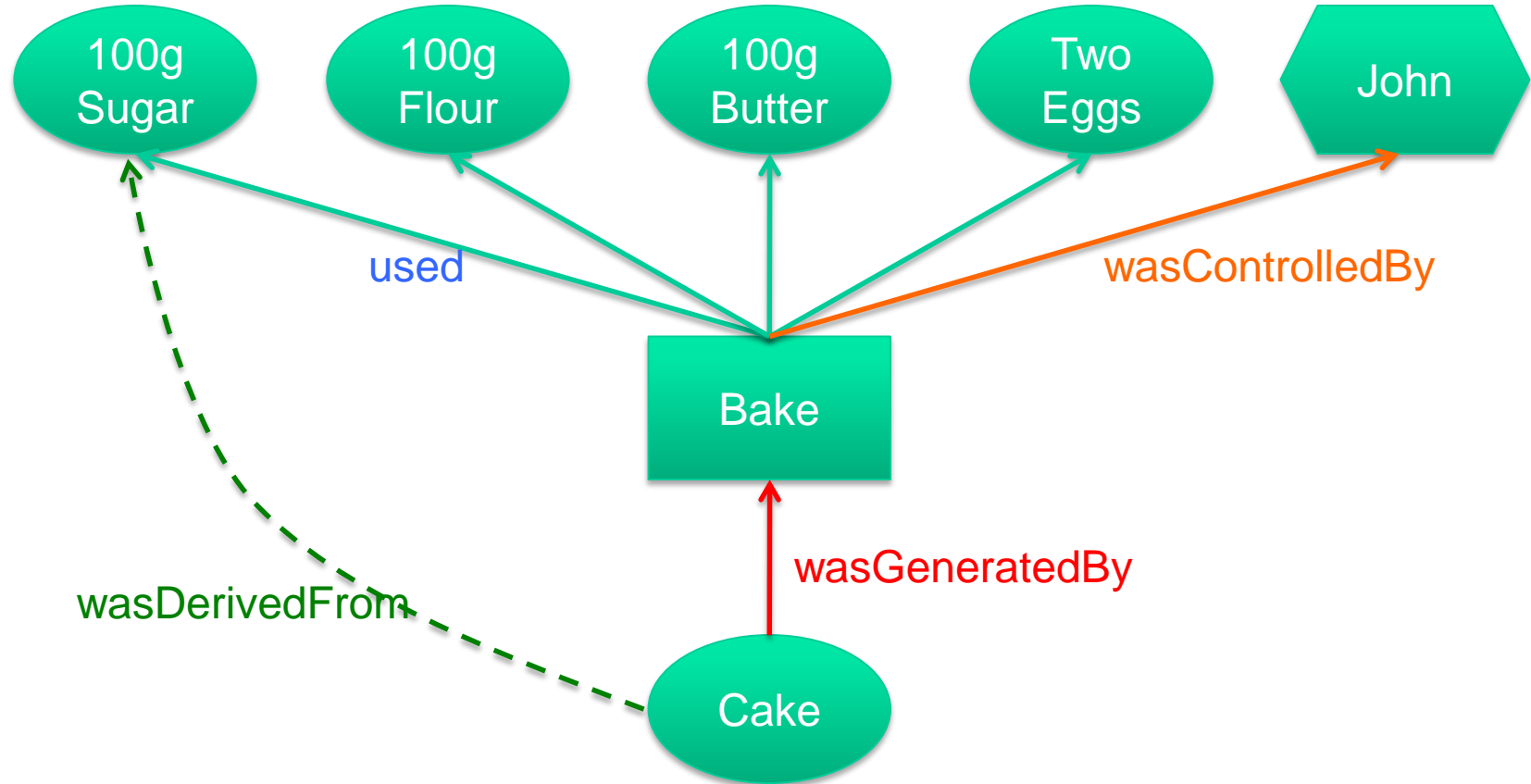
- **Using** provenance data
- **Securing** provenance data

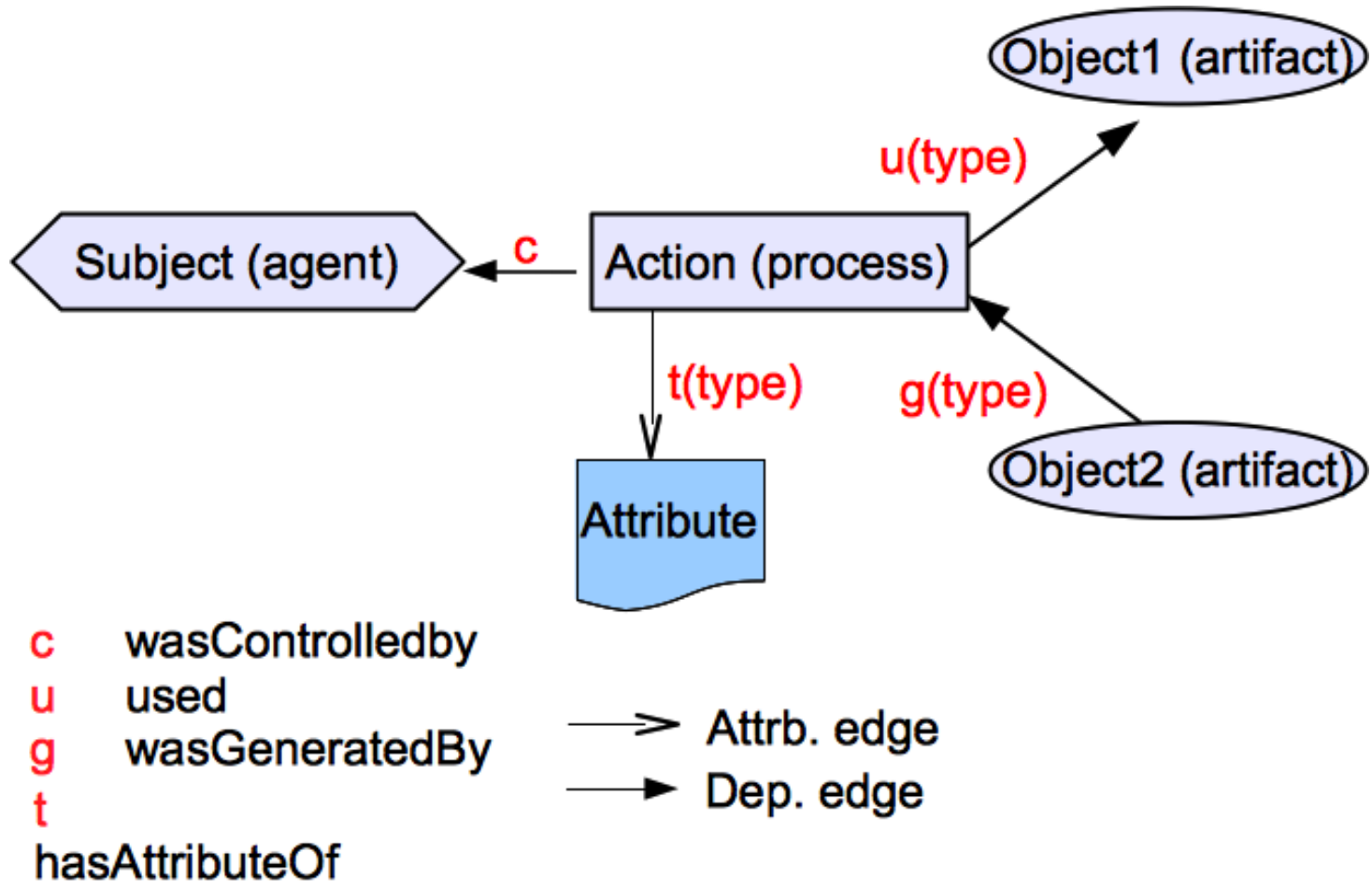
← Provenance Data Model

← Access Control

- **Provenance Access Control (PAC)**
 - Controlling access to provenance data which could be more sensitive than the underlying data
 - Needs access control models/mechanisms (e.g, RBAC)
 - (Meaningful) control granularity? Right level of abstraction?
- **Provenance-based Access Control (PBAC)**
 - Using provenance data to control access to the underlying data
 - Provenance-based policy specification

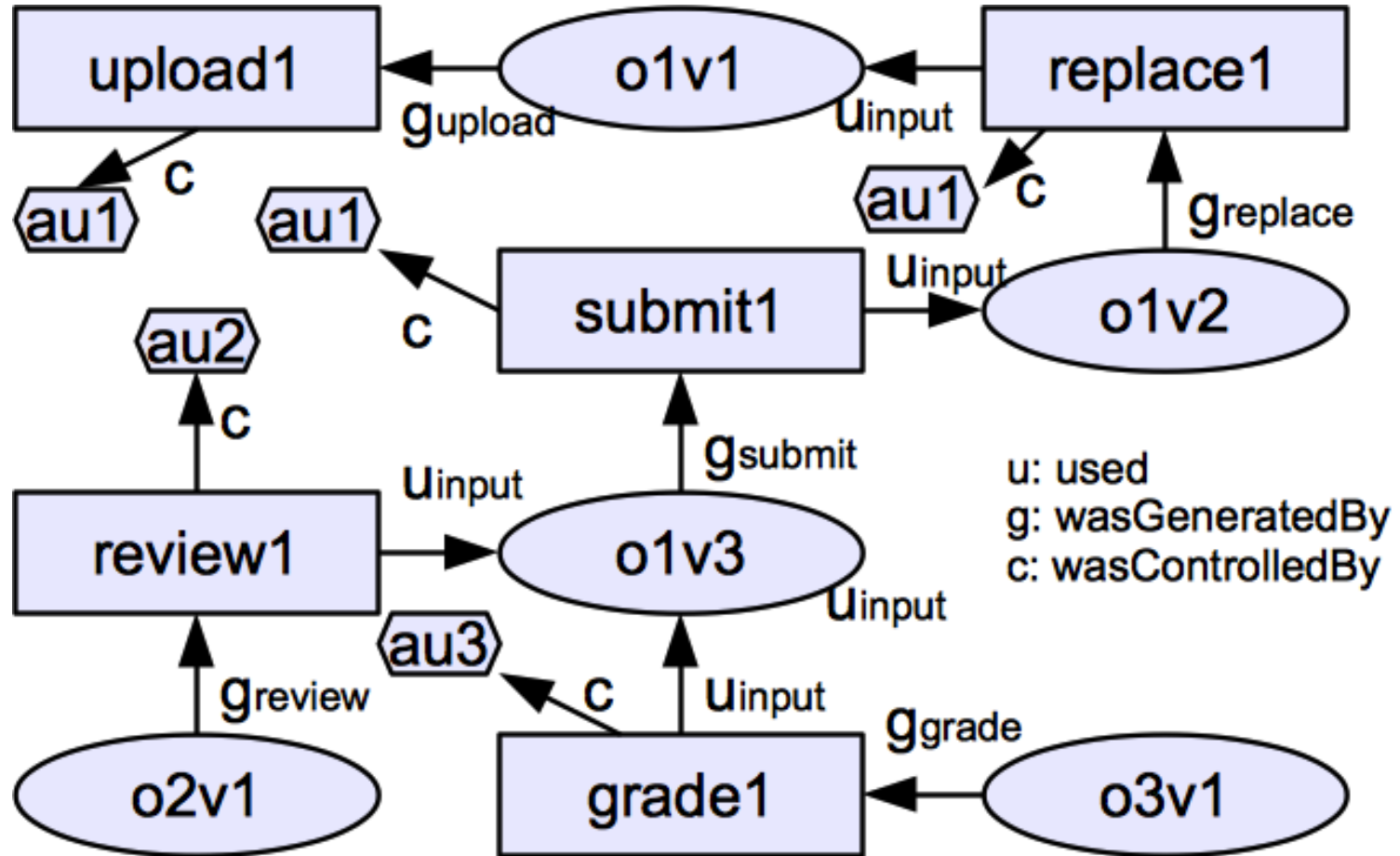






- **Direct dependencies**
 - Used (u), wasGeneratedBy (g), wasControlledBy (c)
 - Captured from transactions as **base provenance data**
- **Indirect dependencies**
 - **System-computable dependencies**
 - using pre-defined **dependency names** and **matching dependency path patterns**
 - **User-declared dependencies**
 - using pre-defined **dependency names**

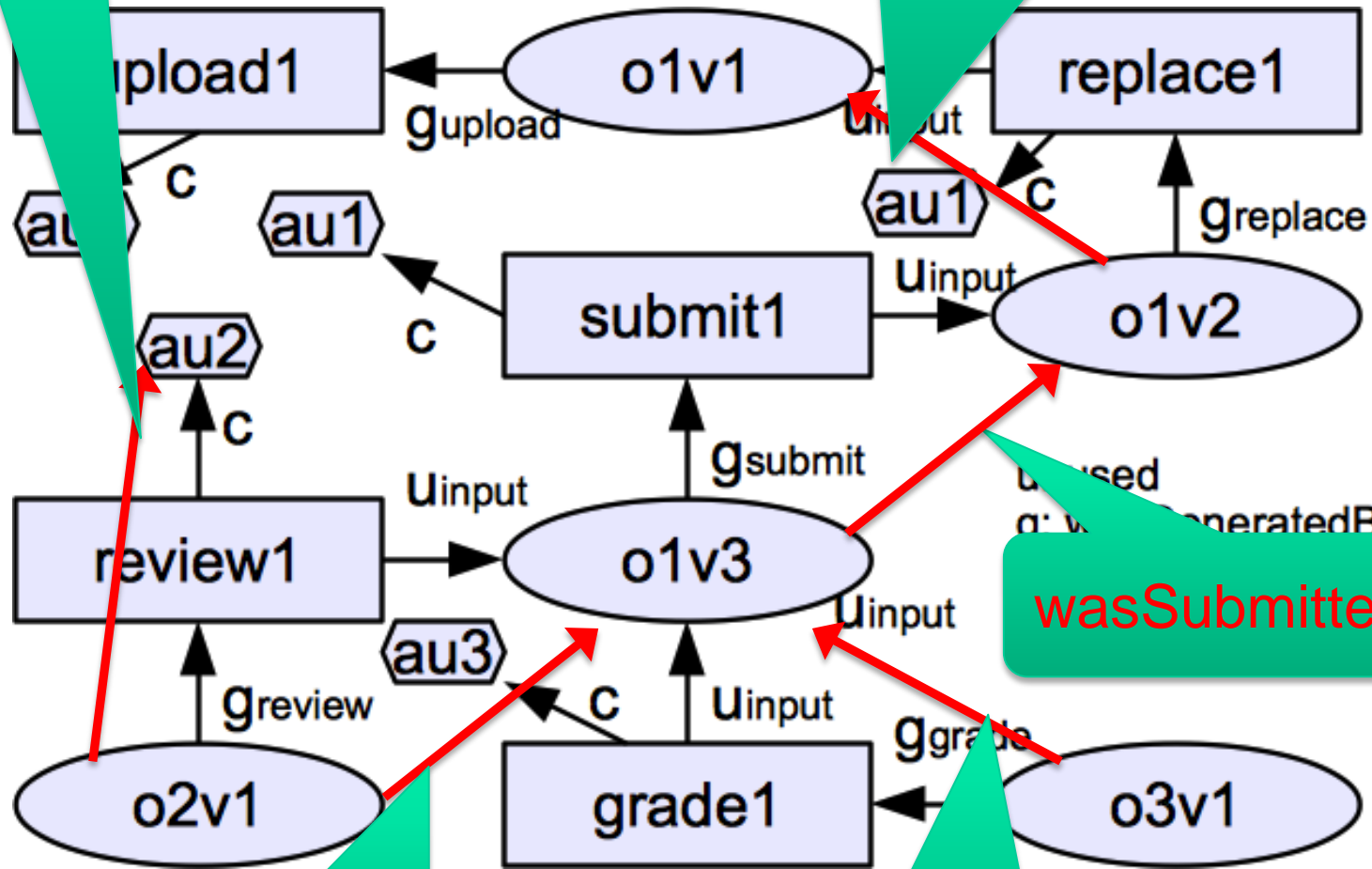
- A set of pairs of
 - abstracted dependency names (DNAME) and
 - regular expression-based object dependency path patterns (DPATH)
- Examples
 - `< wasSubmittedVof, gsubmit·uinput >`
 - `< wasAuthoredBy, wasSubmittedVof?.wasReplacedVof *.gupload·C >`



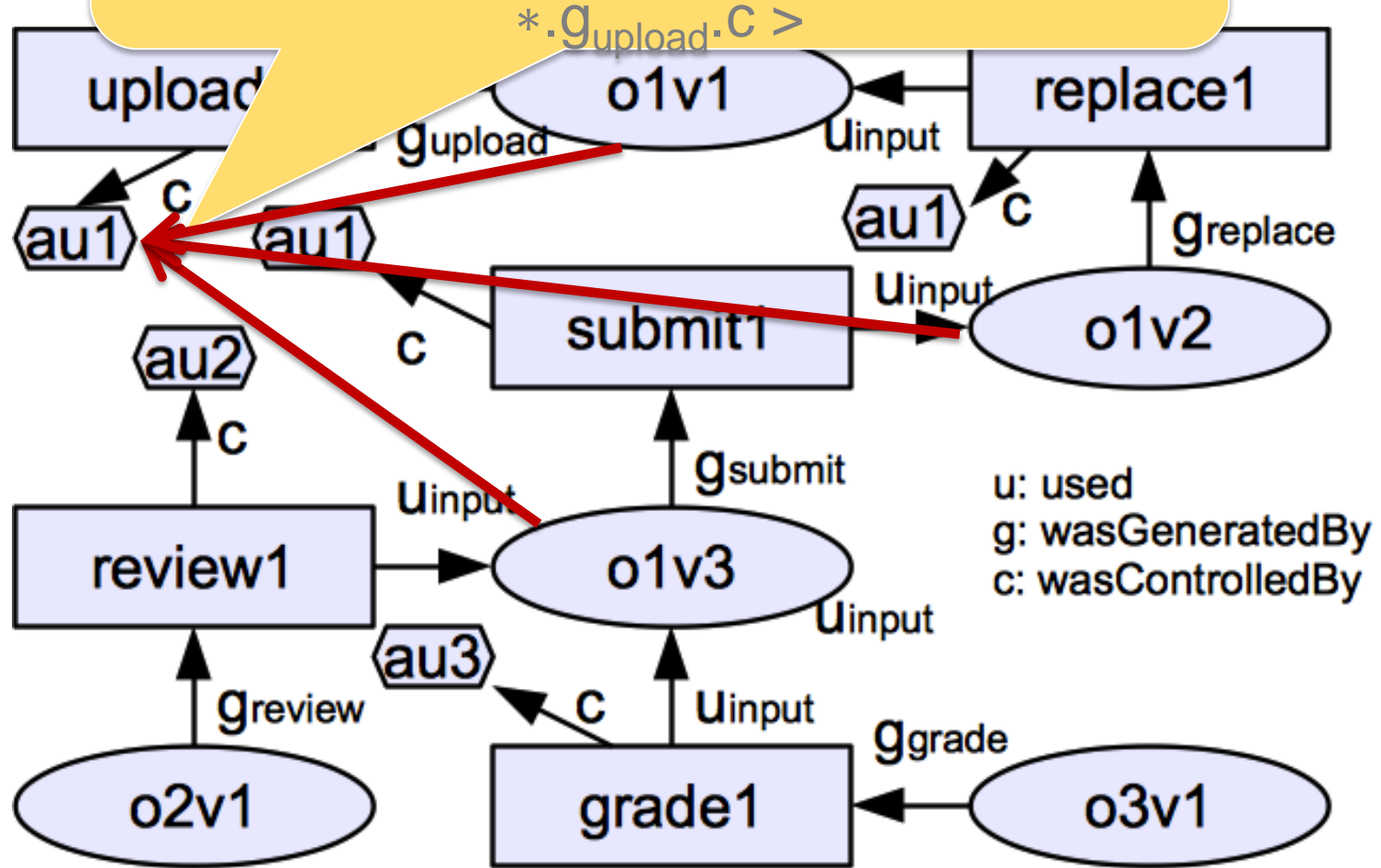
- $\langle \text{wasReplacedVof}, g_{\text{replace}} \cdot u_{\text{input}} \rangle$
- $\langle \text{wasSubmittedVof}, g_{\text{submit}} \cdot u_{\text{input}} \rangle$
- $\langle \text{wasReviewedOof}, g_{\text{review}} \cdot u_{\text{input}} \rangle$
- $\langle \text{wasReviewedOby}, g_{\text{review}} \cdot c \rangle$
- $\langle \text{wasGradedOof}, g_{\text{grade}} \cdot u_{\text{input}} \rangle$
- $\langle \text{wasAuthoredBy}, \text{wasSubmittedVof?}.\text{wasReplacedVof} \cdot \text{gupload.c} \rangle$
- $\langle \text{wasReviewedBy}, \text{wasReviewedOof-1}.\text{wasReviewedOby} \rangle$

wasReviewedOby

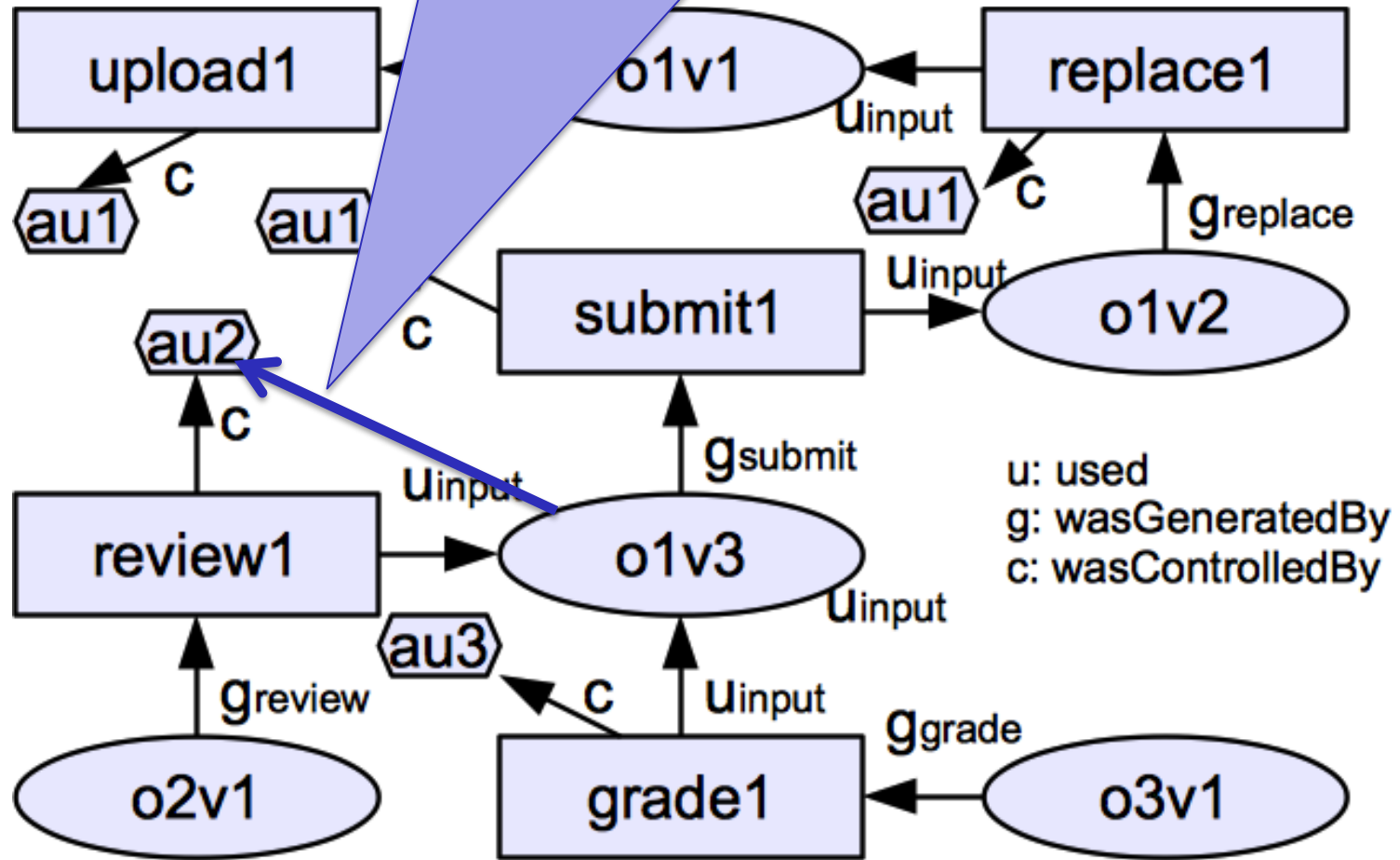
wasReplacedVof
 $DL_O: < \text{wasReplacedVof}, g_{\text{replace}} \cdot u_{\text{input}} >$



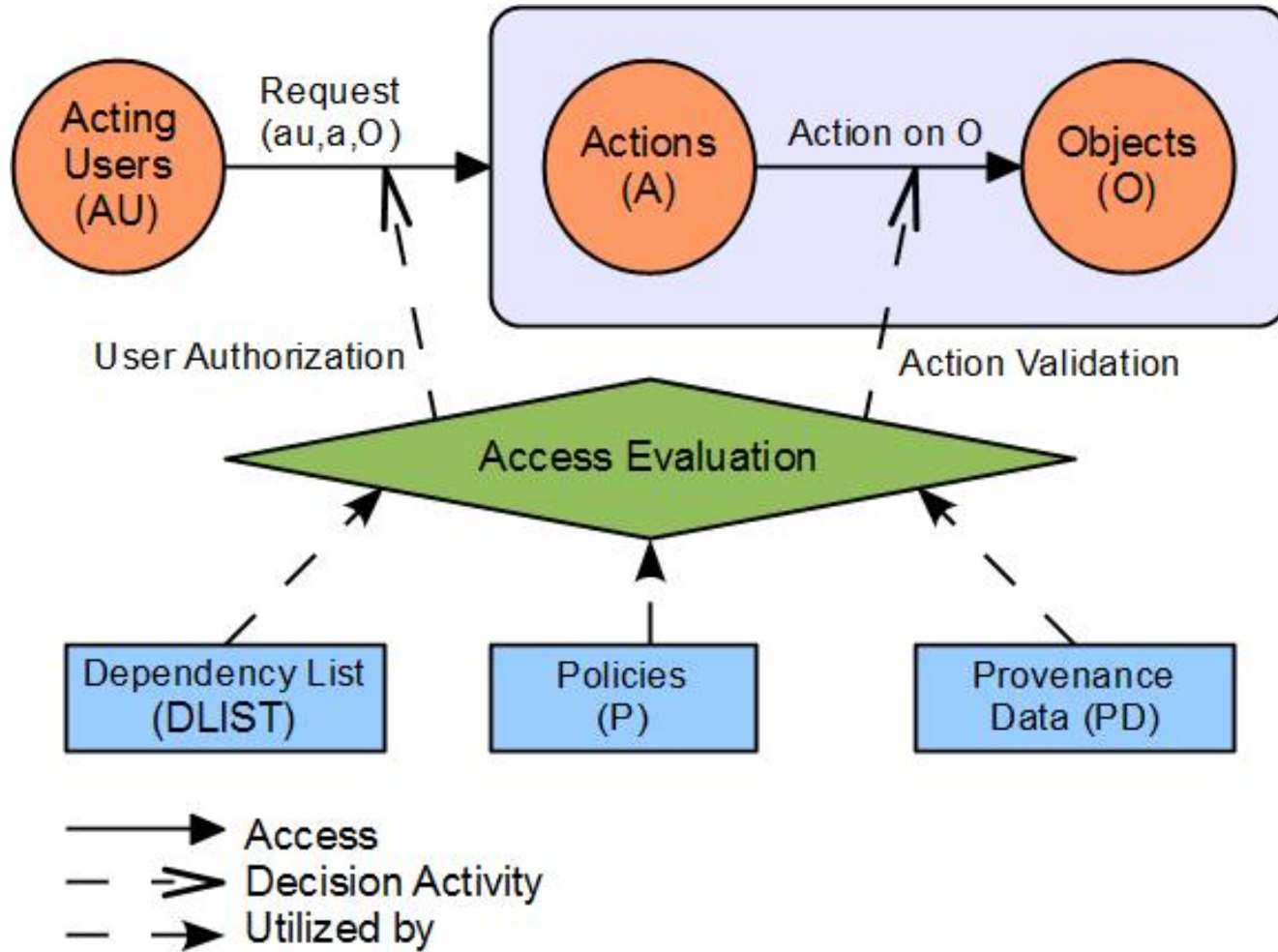
wasAuthoredBy
DL_O: <wasAuthoredBy,
wasSubmittedVof?. wasReplacedVof
*.g_{upload}.C >



wasReviewedBy
 $DL_O: < \text{wasReviewedBy}, \text{wasReviewedOof}^{-1}, \text{wasReviewedOby} >$



1. Anyone can [upload](#) a homework.
2. A user can [replace](#) a homework if she uploaded it (**origin-based control**) and the homework is not submitted yet.
3. A user can [submit](#) a homework if she uploaded it and the homework is not submitted already. (**workflow control**)
4. A user can [review](#) a homework if she is not the author of the homework (**DSOD**), the user did not review the homework earlier, and the homework is submitted already but not graded yet.
5. A user can [grade](#) a homework if the homework is reviewed but not graded yet.



- System-captured Base Provenance Data only
 - Using only 3 direct dependencies (u, g, c)
 - No user-declared provenance data
- Object dependency only
- Policy is readily available
 - No policy retrieval required

