

INFS 766
Internet Security Protocols

Lecture 1
Firewalls

Prof. Ravi Sandhu

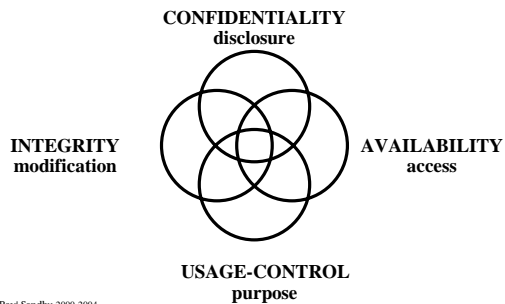
INTERNET INSECURITY

- ❖ **Internet insecurity spreads at Internet speed**
 - Morris worm of 1987
 - Password sniffing attacks in 1994
 - IP spoofing attacks in 1995
 - Denial of service attacks in 1996
 - Email borne viruses 1999
 - Distributed denial of service attacks 2000
 - Fast spreading worms and viruses 2003
 - Spam 2004
 - ... no end in sight
- ❖ **Internet insecurity grows at super-Internet speed**
 - security incidents are growing faster than the Internet (which has roughly doubled every year since 1988)

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SECURITY OBJECTIVES



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SECURITY TECHNIQUES

- ❖ **Prevention**
 - access control
- ❖ **Detection**
 - auditing/intrusion detection
 - incident handling
- ❖ **Acceptance**
 - practicality

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THREATS, VULNERABILITIES
ASSETS AND RISK

- ❖ **THREATS** are possible attacks
- ❖ **VULNERABILITIES** are weaknesses
- ❖ **ASSETS** are information and resources that need protection
- ❖ **RISK** requires assessment of threats, vulnerabilities and assets

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RISK

- ❖ **Outsider Attack**
 - insider attack
- ❖ **Insider Attack**
 - outsider attack

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PERSPECTIVE ON SECURITY

- ❖ No silver bullets
- ❖ A process NOT a turn-key product
- ❖ Requires a conservative stance
- ❖ Requires defense-in-depth
- ❖ A secondary objective
- ❖ Absolute security does not exist

- ❖ Security in most systems can be improved

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PERSPECTIVE ON SECURITY

- ❖ **absolute security is impossible does not mean absolute insecurity is acceptable**

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INTRUSION SCENARIOS

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CLASSICAL INTRUSIONS SCENARIO 1

- ❖ **Insider attack**
 - The insider is already an authorized user
- ❖ **Insider acquires privileged access**
 - exploiting bugs in privileged system programs
 - exploiting poorly configured privileges
- ❖ **Install backdoors/Trojan horses to facilitate subsequent acquisition of privileged access**

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CLASSICAL INTRUSIONS SCENARIO 2

- ❖ **Outsider attack**
- ❖ **Acquire access to an authorized account**
- ❖ **Perpetrate an insider attack**

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NETWORK INTRUSIONS SCENARIO 3

- ❖ **Outsider/Insider attack**
- ❖ **Spoof network protocols to effectively acquire access to an authorized account**

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DENIAL OF SERVICE ATTACKS

- ❖ Flooding network ports with attack source masking
- ❖ TCP/SYN flooding of internet service providers in 1996

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INFRASTRUCTURE ATTACKS

- ❖ router attacks
 - modify router configurations
- ❖ domain name server attacks
- ❖ internet service attacks
 - web sites
 - ftp archives

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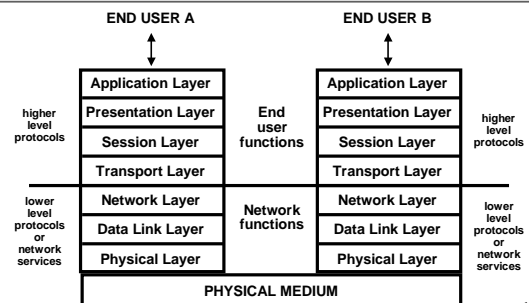
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INTERNET ARCHITECTURE AND PROTOCOLS

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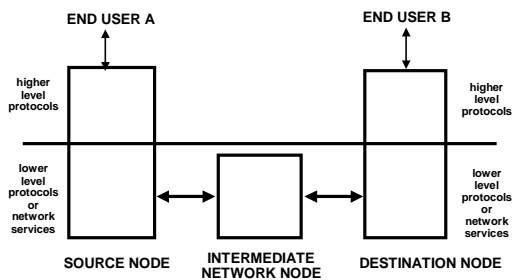
OSI REFERENCE MODEL



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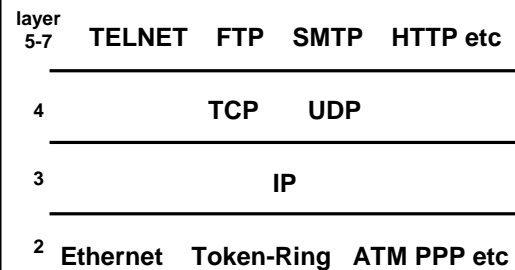
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TCP/IP PROTOCOL STACK BASIC PROTOCOLS



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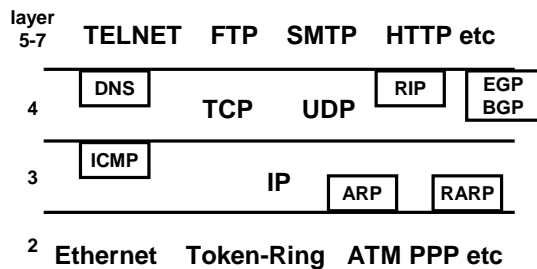
TCP/IP PROTOCOL STACK BASIC PROTOCOLS

- ❖ **IP (Internet Protocol)**
 - connectionless routing of packets
- ❖ **UDP (User Datagram Protocol)**
 - unreliable datagram protocol
- ❖ **TCP (Transmission Control Protocol)**
 - connection-oriented, reliable, transport protocol

TCP/IP PROTOCOL STACK BASIC PROTOCOLS

- ❖ **TELNET: remote terminal**
- ❖ **FTP (File Transfer Protocol)**
- ❖ **TFTP (Trivial File Transfer Protocol)**
- ❖ **SMTP (Simple Mail Transfer Protocol)**
- ❖ **RPC (Remote Procedure Call)**
- ❖ **HTTP (Hyper Text Transfer Protocol)**
- ❖ **and others**

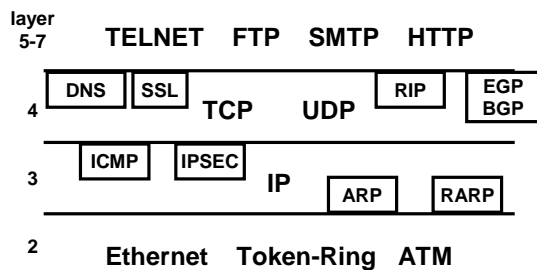
TCP/IP PROTOCOL STACK INFRASTRUCTURE PROTOCOLS



TCP/IP PROTOCOL STACK INFRASTRUCTURE PROTOCOLS

- ❖ **ICMP: Internet Control Message Protocol**
- ❖ **ARP: Address Resolution Protocol**
- ❖ **RARP: Reverse Address Resolution Protocol**
- ❖ **DNS: Domain Name Service**
- ❖ **RIP: Routing Information Protocol**
- ❖ **BGP: Border Gateway Protocol**
- ❖ **EGP: External Gateway Protocol**

TCP/IP PROTOCOL STACK SECURITY PROTOCOLS



INTERNET STANDARDS PROCESS

- ❖ **IETF: Internet Engineering Task Force**
 - Application Area
 - General Area
 - Internet Area
 - Operational Requirements Area
 - Routing Area
 - **Security Area**
 - Transport Area
 - User Services Area

IETF SECURITY AREA ACTIVE WORKING GROUPS

- ❖ [An Open Specification for Pretty Good Privacy \(openpgp\)](#)
- ❖ [Authenticated Firewall Traversal \(aft\)](#)
- ❖ [Common Authentication Technology \(cat\)](#)
- ❖ [IP Security Policy \(ipsp\)](#)
- ❖ [IP Security Protocol \(ipsec\)](#)
- ❖ [IP Security Remote Access \(ipara\)](#)
- ❖ [Intrusion Detection Exchange Format \(idwg\)](#)
- ❖ [Kerberos Internet Negotiation of Keys \(kink\)](#)
- ❖ [Kerberos WG \(krb-wg\)](#)
- ❖ [One Time Password Authentication \(otp\)](#)
- ❖ [Public Key Infrastructure \(X.509\) \(pkix\)](#)
- ❖ [S/MIME Mail Security \(smime\)](#)
- ❖ [Secure Network Time Protocol \(stime\)](#)
- ❖ [Secure Shell \(secsh\)](#)
- ❖ [Securely Available Credentials \(sacred\)](#)
- ❖ [Security Issues in Network Event Logging \(syslog\)](#)
- ❖ [Simple Public Key Infrastructure \(spki\)](#)
- ❖ [Transport Layer Security \(tls\)](#)
- ❖ [Web Transaction Security \(wts\)](#)
- ❖ [XML Digital Signatures \(xmldsig\)](#)

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RFCs AND IETF DRAFTS

- ❖ RFCs
 - Standards
 - Proposed Standard
 - Draft Standard
 - Internet Standard
 - Informational
 - Experimental
 - Historic
- ❖ IETF drafts
 - work in progress
 - expire after 6 months

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MUST, SHOULD, MAY

- ❖ **MUST**
 - mandatory, required of compliant implementations
- ❖ **SHOULD**
 - strongly recommended but not required
- ❖ **MAY**
 - possibility
 - even if not stated a may is always allowed unless it violates MUST NOT

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TCP/IP VULNERABILITIES

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BASIC TCP/IP VULNERABILITIES

- ❖ many dangerous implementations of protocols
 - sendmail
- ❖ many dangerous protocols
 - NFS, X11, RPC
 - many of these are UDP based

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BASIC TCP/IP VULNERABILITIES

- ❖ solution
 - allow a restricted set of protocols between selected external and internal machines
 - otherwise known as firewalls

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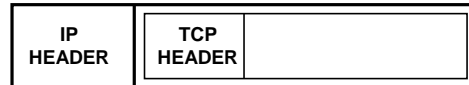
IP PACKET

- ❖ **header**
- ❖ **data**
 - carries a layer 4 protocol
 - TCP, UDP
 - or a layer 3 protocol
 - ICMP, IPSEC, IP
 - or a layer 2 protocol
 - IPX, Ethernet, PPP

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TCP INSIDE IP



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IP HEADER FORMAT

- ❖ **version**: 4bit, currently v4
- ❖ **header length**: 4 bit, length in 32 bit words
- ❖ **TOS (type of service)**: unused
- ❖ **total length**: 16 bits, length in bytes
- ❖ **identification, flags, fragment offset**: total 16 bits used for packet fragmentation and reassembly
- ❖ **TTL (time to live)**: 8 bits, used as hop count
- ❖ **Protocol**: 8 bit, protocol being carried in IP packet, usually TCP, UDP but also ICMP, IPSEC, IP, IPX, PPP, Ethernet
- ❖ **header checksum**: 16 bit checksum
- ❖ **source address**: 32 bit IP address
- ❖ **destination address**: 32 bit IP address

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IP HEADER FORMAT

- ❖ **options**
 - **source routing**
 - enables route of a packet and its response to be explicitly controlled
 - **route recording**
 - **timestamping**
 - **security labels**

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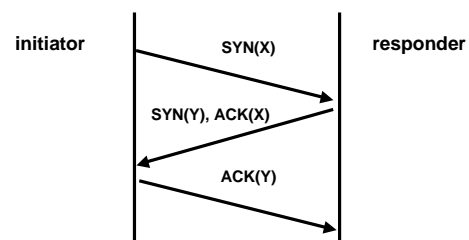
TCP HEADER FORMAT

- ❖ **source port number**
 - source IP address + source port number is a socket: uniquely identifies sender
- ❖ **destination port number**
 - destination IP address + destination port number is a socket: uniquely identifies receiver
- ❖ **SYN and ACK flags**
- ❖ **sequence number**
- ❖ **acknowledgement number**

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TCP 3 WAY HANDSHAKE



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TCP SYN FLOODING ATTACK

- ❖ **TCP 3 way handshake**
 - send SYN packet with random IP source address
 - return SYN-ACK packet is lost
 - this half-open connection stays for a fairly long time out period
- ❖ **Denial of service attack**
- ❖ **Basis for IP spoofing attack**

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IP SPOOFING

- ❖ **Send SYN packet with spoofed source IP address**
- ❖ **SYN-flood real source so it drops SYN-ACK packet**
- ❖ **guess sequence number and send ACK packet to target**
 - target will continue to accept packets and response packets will be dropped

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TCP SESSION HIJACKING

- ❖ **Send RST packet with spoofed source IP address and appropriate sequence number to one end**
- ❖ **SYN-flood that end**
- ❖ **send ACK packets to target at other end**

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SMURF ATTACK

- ❖ **Send ICMP ping packet with spoofed IP source address to a LAN which will broadcast to all hosts on the LAN**
- ❖ **Each host will send a reply packet to the spoofed IP address leading to denial of service**

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ULTIMATE VULNERABILITY

- ❖ **IP packet carries no authentication of source address**
- ❖ **IP spoofing is possible**
 - IP spoofing is a real threat on the Internet
 - IP spoofing occurs on other packet-switched networks also, such as Novell's IPX
- ❖ **Firewalls do not solve this problem**
- ❖ **Requires cryptographic solutions**

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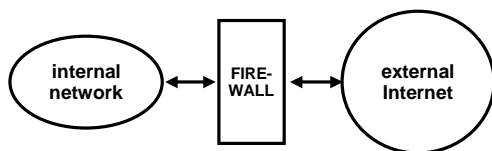
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FIREWALLS

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WHAT IS A FIREWALL?



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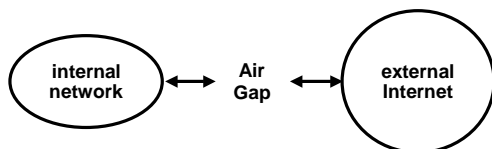
WHAT IS A FIREWALL?

- ❖ all traffic between external and internal networks must go through the firewall
 - easier said than done
- ❖ firewall has opportunity to ensure that only suitable traffic goes back and forth
 - easier said than done

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ULTIMATE FIREWALL



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BENEFITS

- ❖ secure and carefully administer firewall machines to allow controlled interaction with external Internet
- ❖ internal machines can be administered with varying degrees of care
- ❖ does work

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BASIC LIMITATIONS

- ❖ connections which bypass firewall
- ❖ services through the firewall introduce vulnerabilities
- ❖ insiders can exercise internal vulnerabilities
- ❖ performance may suffer
- ❖ single point of failure

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TYPES OF FIREWALLS

- ❖ Packet filtering firewalls
 - IP layer
- ❖ Application gateway firewalls
 - Application layer
- ❖ Circuit relay firewalls
 - TCP layer
- ❖ Combinations of these

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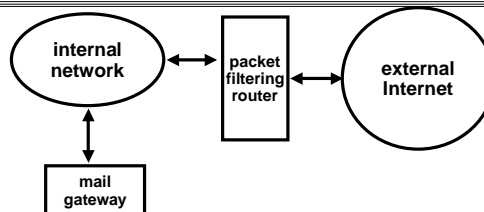
PACKET FILTERING FIREWALLS

- ❖ IP packets are filtered based on
 - source IP address + source port number
 - destination IP address + destination port number
 - protocol field: TCP or UDP
 - TCP protocol flag: SYN or ACK

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FILTERING ROUTERS



i-nw-to-router → ← e-nw-to-router
 router-to-i-nw ← ← → router-to-e-nw

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PACKET FILTERING FIREWALLS

- ❖ drop packets based on filtering rules
- ❖ static (stateless) filtering
 - no context is kept
- ❖ dynamic (statefull) filtering
 - keeps context

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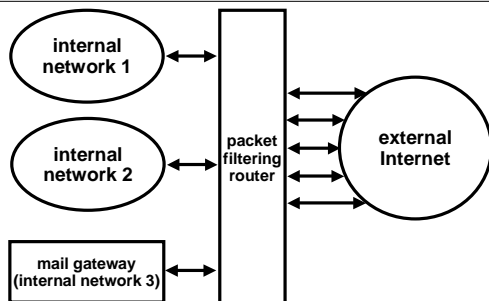
PACKET FILTERING FIREWALLS

- ❖ Should never allow packet with source address of internal machine to enter from external internet
- ❖ Cannot trust source address to allow selective access from outside

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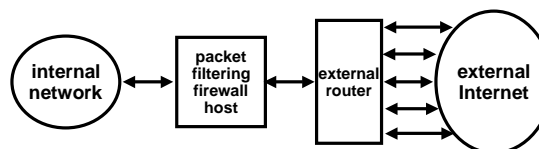
FILTERING ROUTERS



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FILTERING HOST



- ❖ one can use a packet filtering firewall even if connection to Internet is via an external service provider

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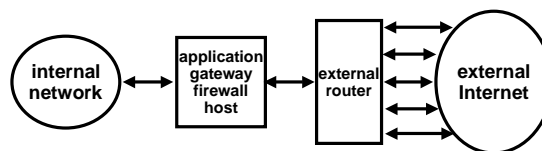
PACKET FILTERING FIREWALLS

- ❖ packet filtering is effective for coarse-grained controls
- ❖ not so effective for fine-grained control
 - can do: allow incoming telnet from a particular host
 - cannot do: allow incoming telnet from a particular user

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APPLICATION GATEWAY FIREWALLS



SIMPLEST CONFIGURATION

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APPLICATION PROXIES

- ❖ have to be implemented for each service
- ❖ may not be safe (depending on service)

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CLIENT-SIDE PROXIES

Internal-Client External-Server

- ❖ allow outgoing http for web access to external machines from internal users
- ❖ requires some client configuration

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SERVER-SIDE PROXIES

External-Client Internal-Server

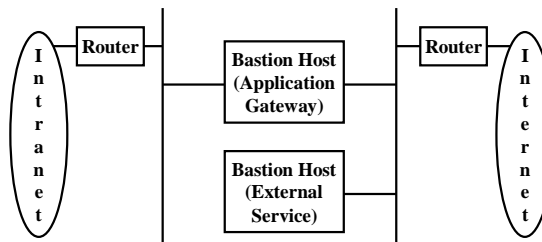
- ❖ allow incoming telnet for access to selected internal machines from selected external users
- ❖ requires some cryptographic protection to thwart sniffing and IP spoofing
- ❖ becoming increasingly important for
 - electronic commerce
 - VPN
 - remote access security

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FIREWALL ARCHITECTURES

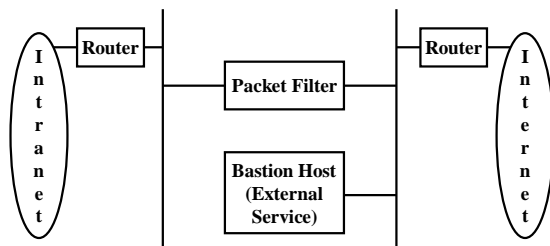
DUAL HOMED HOST



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FIREWALL ARCHITECTURES SCREENED SUBNET



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INTRUSION DETECTION

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RELATED TECHNOLOGIES

- ❖ **Intrusion detection**
- ❖ **Vulnerability assessment**
- ❖ **Incident response**
- ❖ **Honey pots**
- ❖ **Sniffer probes**

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INTRUSION DETECTION TECHNIQUES

- ❖ **Policy detection (or knowledge-based)**
 - **default permit**
 - attack-signature based detection
 - also called misuse detection
 - **default deny**
 - specification-based detection
- ❖ **Anomaly detection (or behavior-based)**
 - requires user profiling
 - requires some learning capability in the system
- ❖ **Combinations of these**

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INTRUSION DETECTION DATA SOURCE

- ❖ **network-based intrusion detection**
 - multiple sensor points
- ❖ **host-based intrusion detection**
 - multi-host based
- ❖ **application-based intrusion detection**
- ❖ **combinations of these**

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ATTACKER

- ❖ **Outsider**
 - easier
- ❖ **insider**
 - harder

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INTRUSION DETECTION ISSUES

- ❖ effectiveness
- ❖ efficiency
- ❖ security
- ❖ inter-operability
- ❖ ease of use
- ❖ transparency

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INTRUSION DETECTION CHALLENGES

- ❖ False alarm rate
- ❖ Performance and scalability

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BASE RATE FALLACY

- ❖ Test for a disease is 99% accurate
 - > 100 disease-free people tested, 99 test negative
 - > 100 diseased people tested, 99 test positive
- ❖ Prevalence of disease is 1 in 10,000
- ❖ Alice tests positive
- ❖ What is probability Alice has the disease?

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BASE RATE FALLACY

- ❖ Test for a disease is 99% accurate
 - > 100 disease-free people tested, 99 test negative
 - > 100 diseased people tested, 99 test positive
- ❖ Prevalence of disease is 1 in 10,000
- ❖ Alice tests positive
- ❖ What is probability Alice has the disease?
1 in 100
- ❖ False alarm rate: 99 in 100 !!!!!

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BASE RATE FALLACY BAYE'S THEOREM

- ❖ population: 1,000,000
- ❖ diseased: 100
- ❖ disease free: 999,900
- ❖ false positive: 9,999
- ❖ true positive: 99
- ❖ Alice's chance of disease:
 $99/(9,999+99) = 1/100$

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BASE RATE FALLACY 99.99% ACCURACY

- ❖ population: 1,000,000
- ❖ diseased: 100
- ❖ disease free: 999,900
- ❖ false positive: 99.99
- ❖ true positive: 99.99
- ❖ Alice's chance of disease:
 $99.99/(99.99+99.99) = 1/2$

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NETWORK-BASED INTRUSION DETECTION SIGNATURES

- ❖ **port signatures**
- ❖ **header signatures**
- ❖ **string signatures**

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NETWORK-BASED INTRUSION DETECTION ADVANTAGES

- ❖ **Complements firewalls**
- ❖ **broad visibility into network activity**
- ❖ **no impact on network performance**
- ❖ **transparent installation**

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NETWORK-BASED INTRUSION DETECTION DISADVANTAGES

- ❖ **False positives**
- ❖ **miss new unknown attacks**
- ❖ **scalability with high-speed networks**
- ❖ **passive stance**
- ❖ **emergence of switched Ethernet**

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HOST-BASED INTRUSION DETECTION

- ❖ **host wrappers or personal firewalls**
 - **look at all network packets, connection attempts, or login attempts to the monitored machine**
 - **example, tcp-wrapper**
- ❖ **host-based agents**
 - **monitor accesses and changes to critical system files and changes in user privilege**
 - **example, tripwire**

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INTRUSION DETECTION STANDARDS

- ❖ **None exist**
- ❖ **ongoing efforts**
 - **CIDF: common intrusion detection framework for sharing information**
 - **IETF Intrusion Detection Working Group just started**

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INTRUSION DETECTION

- ❖ **Needs to integrate with other security technologies such as cryptography and access control**
- ❖ **one component of defense-in-depth layered security strategy**
- ❖ **incident-response and recovery are important considerations**

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