

TOPIC

LATTICE-BASED
ACCESS-CONTROL MODELS

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LATTICE-BASED MODELS

- **Denning's axioms**
- **Bell-LaPadula model (BLP)**
- **Biba model and its duality (or equivalence) to BLP**
- **Dynamic labels in BLP**

DENNING'S AXIOMS

$\langle SC, \rightarrow, \oplus \rangle$

SC	set of security classes
$\rightarrow \subseteq SC \times SC$	flow relation (i.e., can-flow)
$\oplus: SC \times SC \rightarrow SC$	class-combining operator

DENNING'S AXIOMS

$$\langle SC, \rightarrow, \oplus \rangle$$

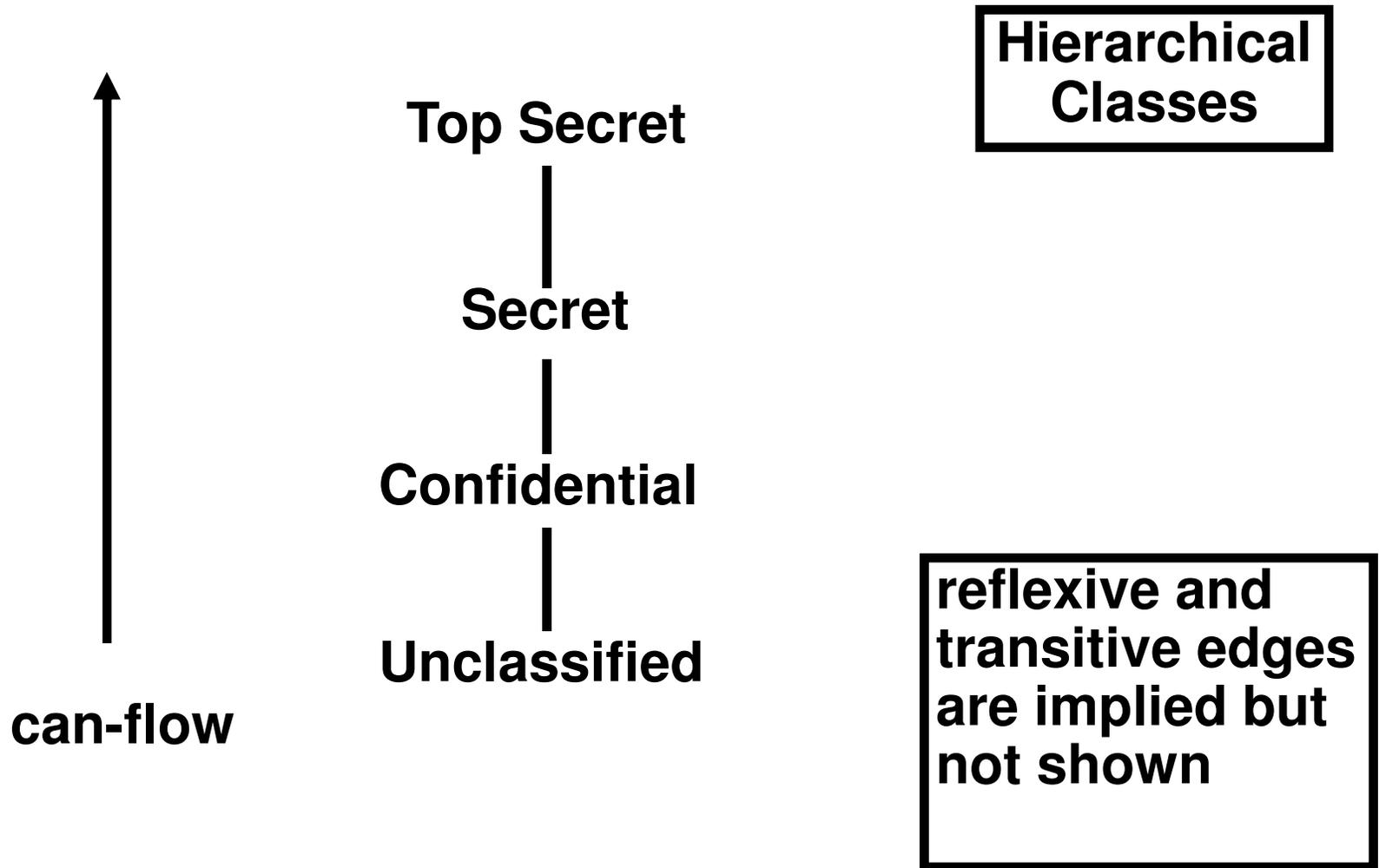
- 1 **SC is finite**
- 2 **\rightarrow is a partial order on SC**
- 3 **SC has a lower bound L such that $L \rightarrow A$ for all $A \in SC$**
- 4 **\oplus is a least upper bound (lub) operator on SC**

Justification for 1 and 2 is stronger than for 3 and 4. In practice we may therefore end up with a partially ordered set (poset) rather than a lattice.

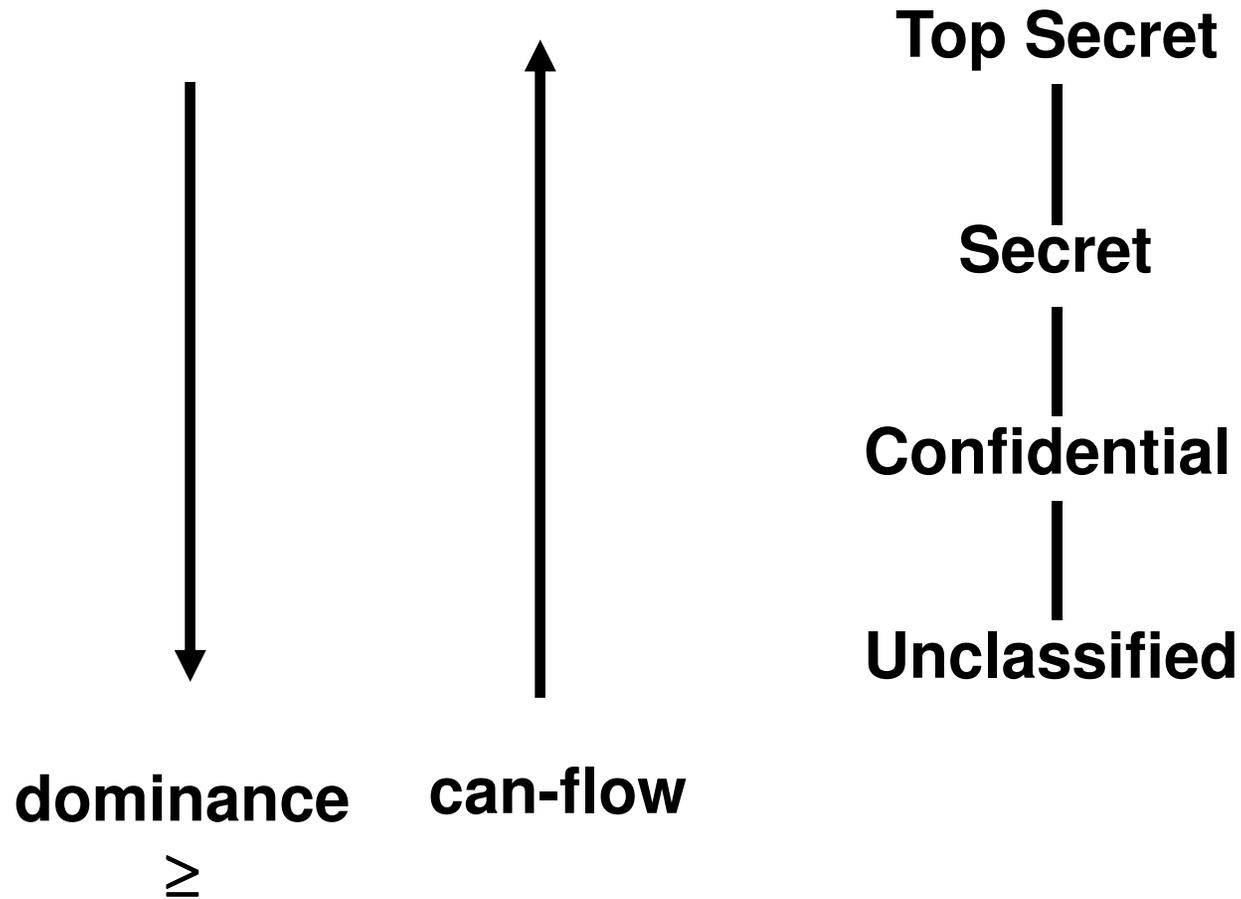
DENNING'S AXIOMS IMPLY

- **SC is a universally bounded lattice**
- **there exists a Greatest Lower Bound (glb) operator \otimes (also called meet)**
- **there exists a highest security class H**

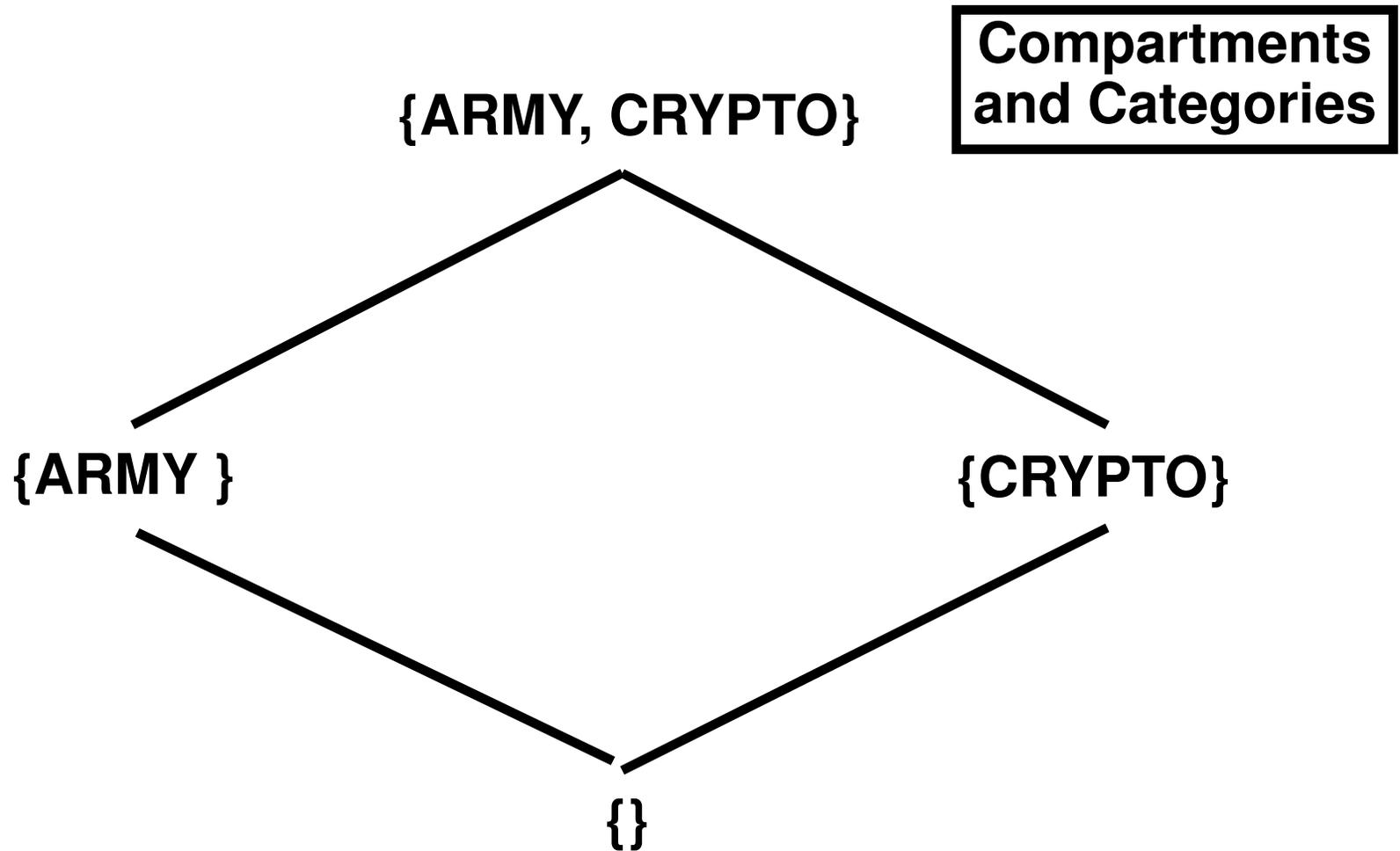
LATTICE STRUCTURES



LATTICE STRUCTURES

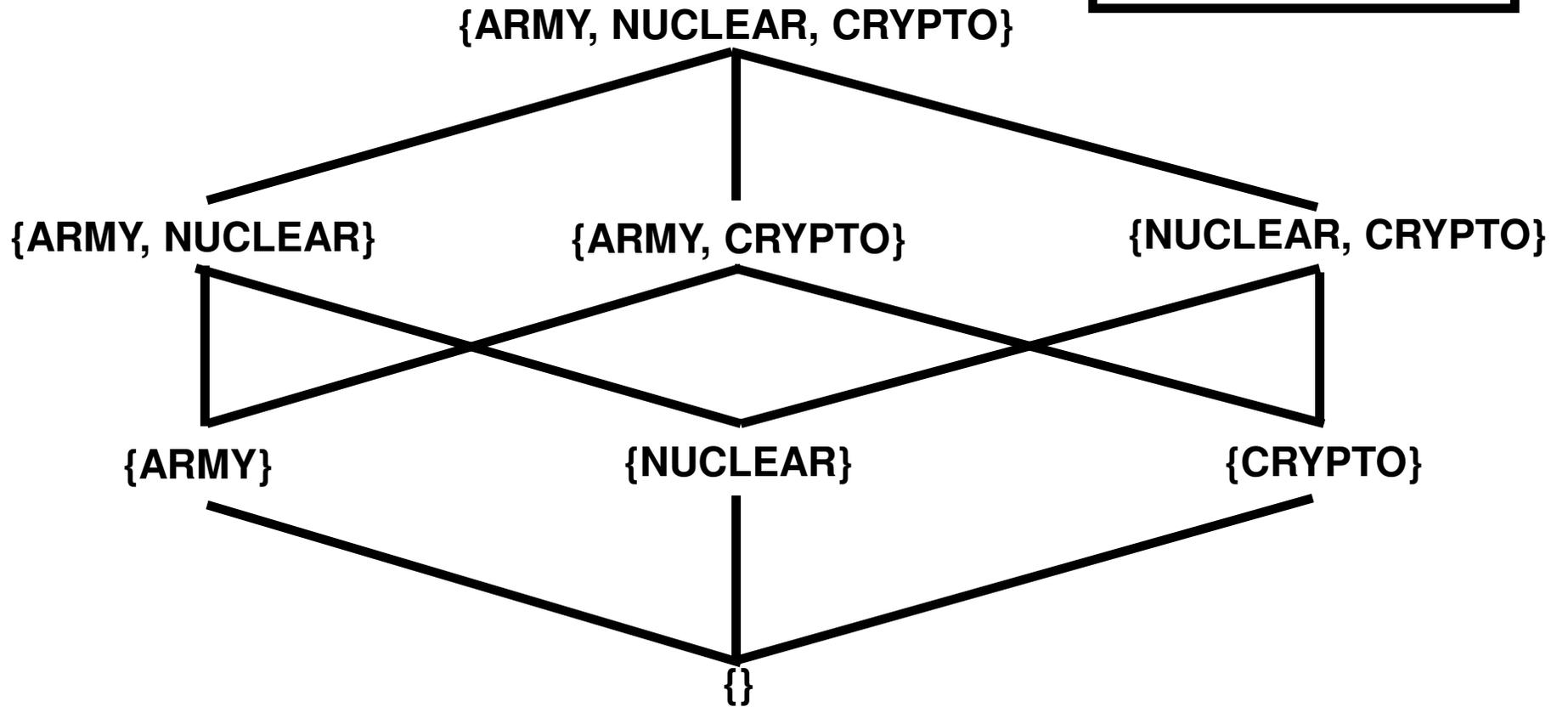


LATTICE STRUCTURES

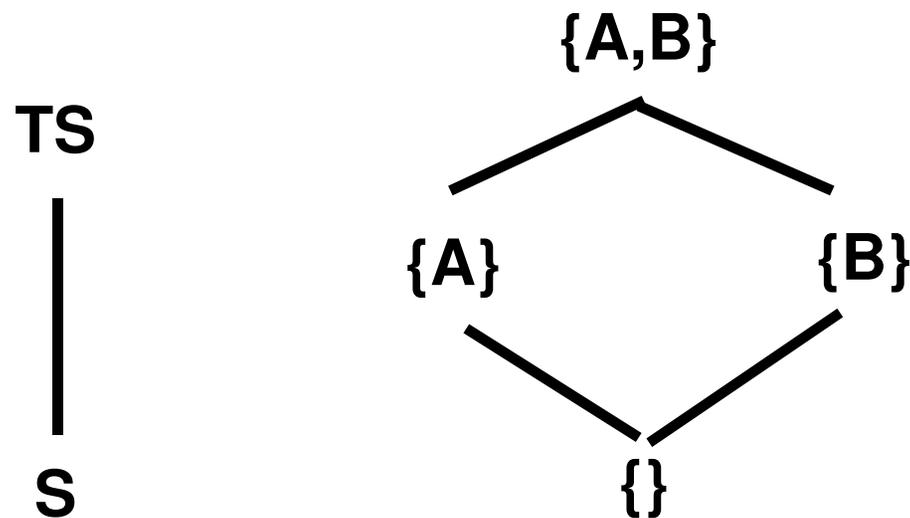


LATTICE STRUCTURES

**Compartments
and Categories**



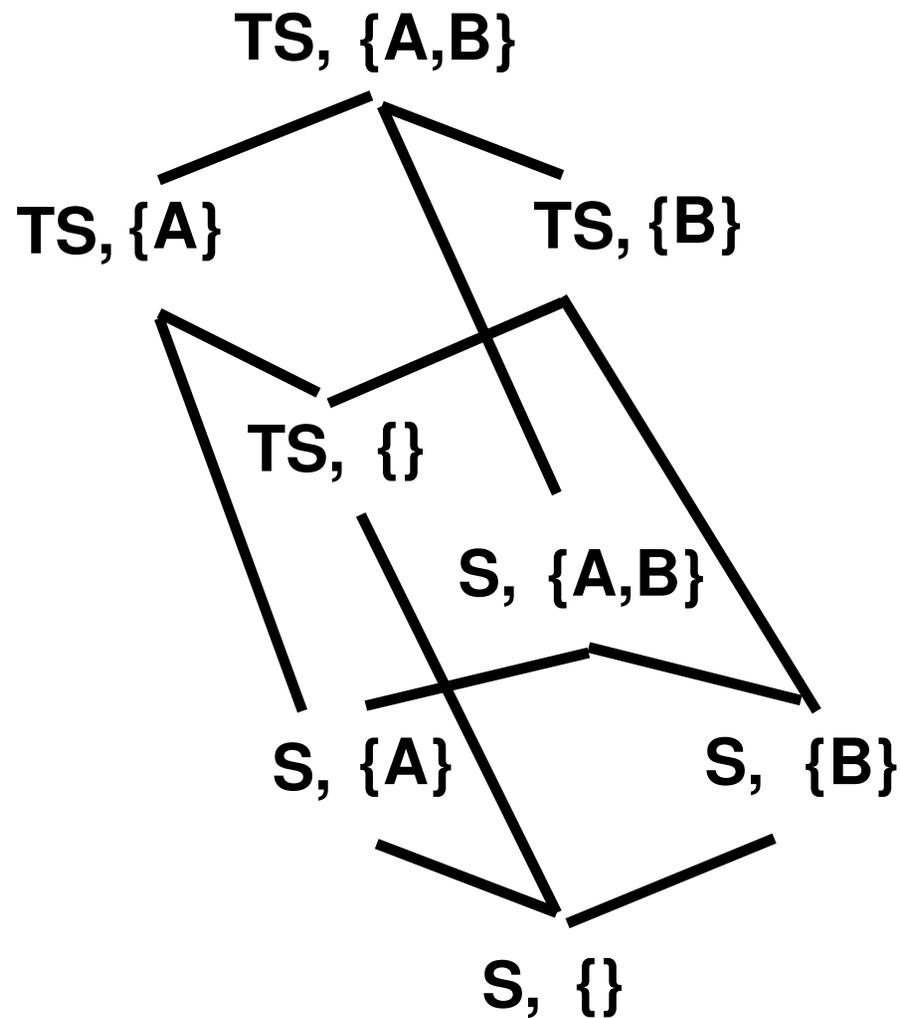
LATTICE STRUCTURES



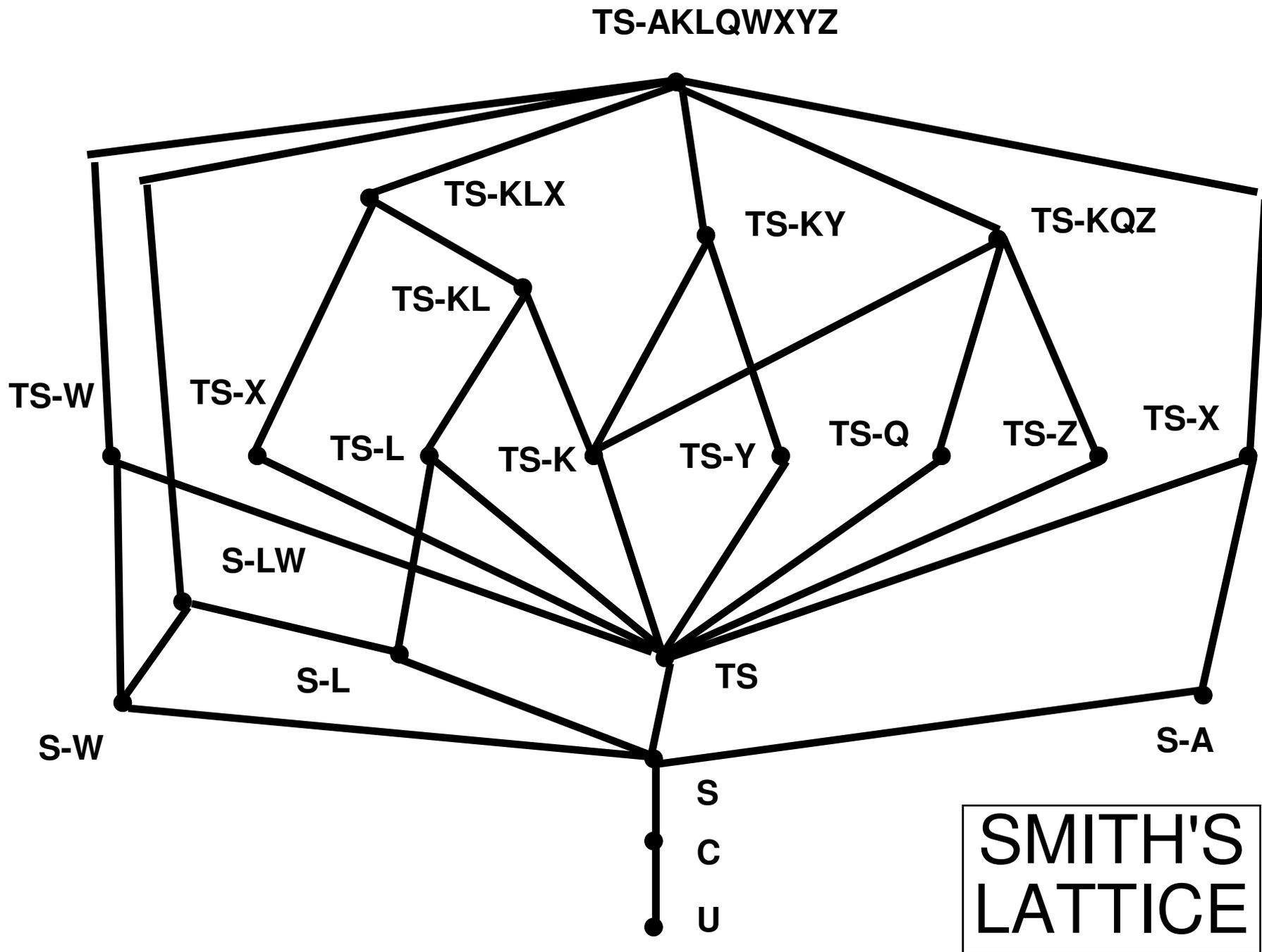
**Hierarchical
Classes with
Compartments**

product of 2 lattices is a lattice

LATTICE STRUCTURES



**Hierarchical
Classes with
Compartments**



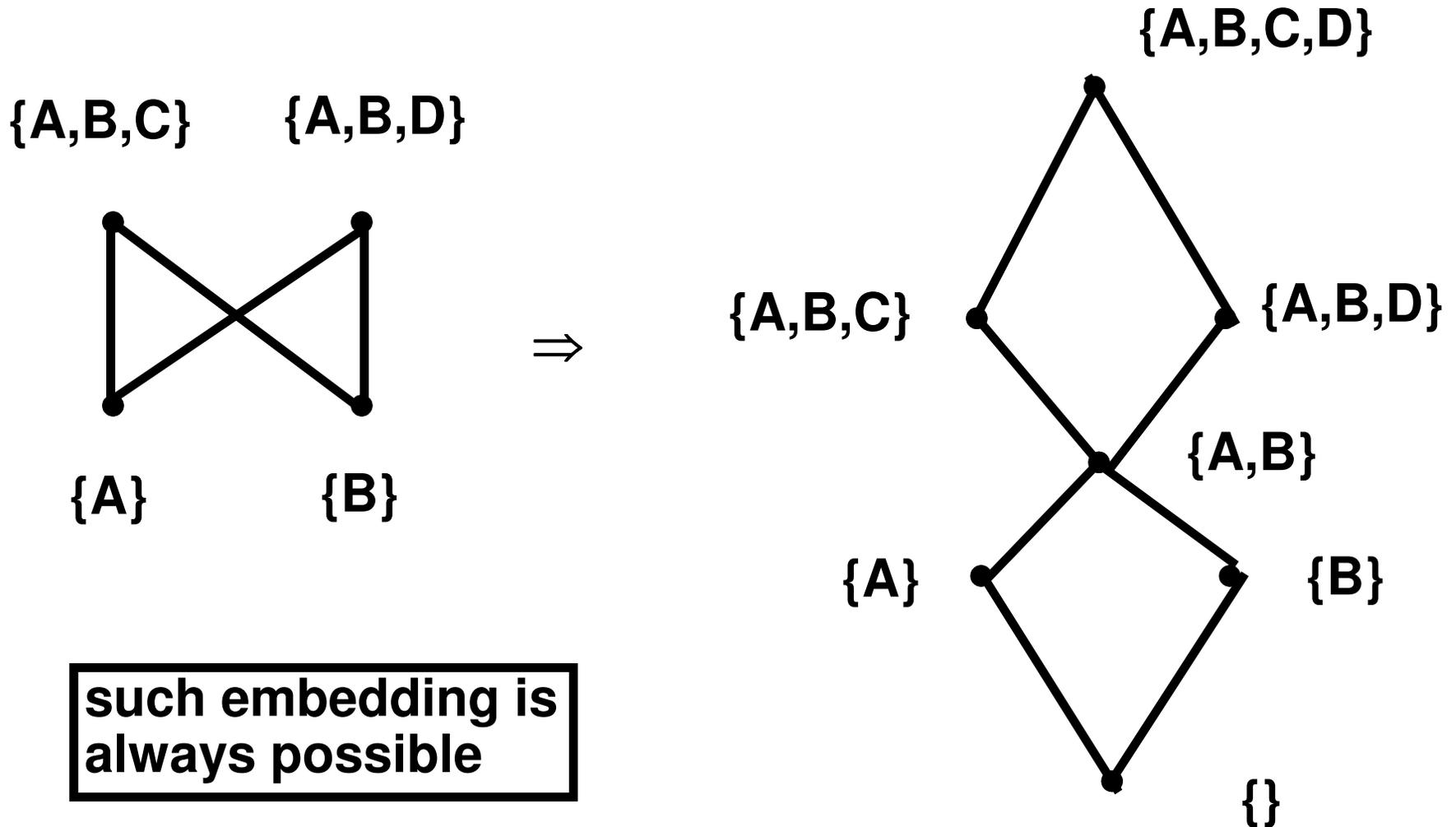
SMITH'S LATTICE

- **With large lattices a vanishingly small fraction of the labels will actually be used**
 - **Smith's lattice: 4 hierarchical levels, 8 compartments, therefore**
number of possible labels = $4 \cdot 2^8 = 1024$
Only 21 labels are actually used (2%)
 - **Consider 16 hierarchical levels, 64 compartments which gives 10^{20} labels**

EMBEDDING A POSET IN A LATTICE

- **Smith's subset of 21 labels do form a lattice. In general, however, selecting a subset of labels from a given lattice**
 - **may not yield a lattice, but**
 - **is guaranteed to yield a partial ordering**
- **Given a partial ordering we can always add extra labels to make it a lattice**

EMBEDDING A POSET IN A LATTICE



BLP BASIC ASSUMPTIONS

- **SUB = {S1, S2, ..., Sm}, a fixed set of subjects**
- **OBJ = {O1, O2, ..., On}, a fixed set of objects**
- **$R \supseteq \{r, w\}$, a fixed set of rights**
- **D, an $m \times n$ discretionary access matrix with $D[i,j] \subseteq R$**
- **M, an $m \times n$ current access matrix with $M[i,j] \subseteq \{r, w\}$**

BLP MODEL (LIBERAL STAR-PROPERTY)

- **Lattice of confidentiality labels**

$$\Lambda = \{\lambda_1, \lambda_2, \dots, \lambda_p\}$$

- **Static assignment of confidentiality labels**

$$\lambda: \mathbf{SUB} \cup \mathbf{OBJ} \rightarrow \Lambda$$

- **M, an $m \times n$ current access matrix with**

- $r \in M[i,j] \Rightarrow r \in D[i,j] \wedge \lambda(S_i) \geq \lambda(O_j)$ **simple security**
- $w \in M[i,j] \Rightarrow w \in D[i,j] \wedge \lambda(S_i) \leq \lambda(O_j)$ **star-property**

BLP MODEL (STRICT STAR-PROPERTY)

- **Lattice of confidentiality labels**

$$\Lambda = \{\lambda_1, \lambda_2, \dots, \lambda_p\}$$

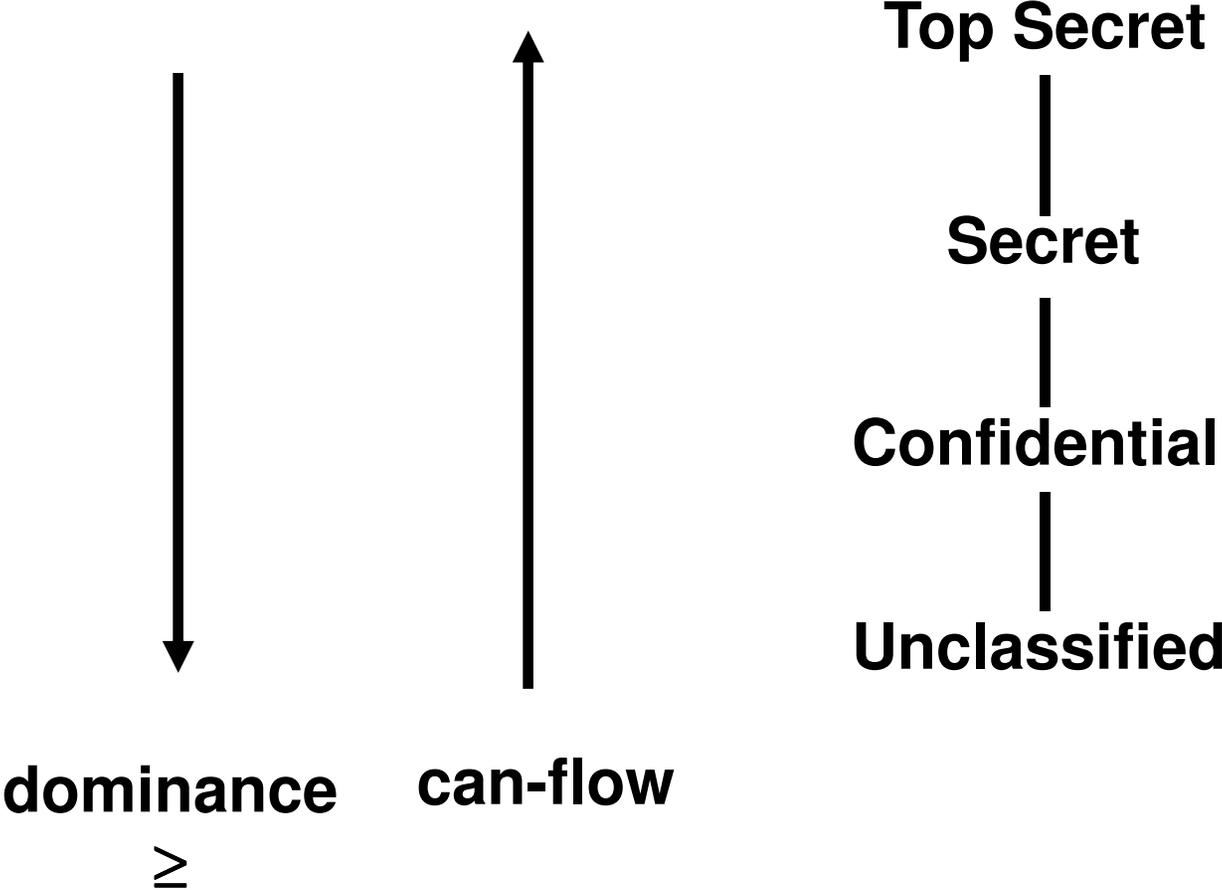
- **Static assignment of confidentiality labels**

$$\lambda: \text{SUB} \cup \text{OBJ} \rightarrow \Lambda$$

- **M, an $m \times n$ current access matrix with**

- $r \in M[i,j] \Rightarrow r \in D[i,j] \wedge \lambda(S_i) \geq \lambda(O_j)$ **simple security**
- $w \in M[i,j] \Rightarrow w \in D[i,j] \wedge \lambda(S_i) = \lambda(O_j)$ **star-property**

BLP MODEL



STAR-PROPERTY

- **applies to subjects not to users**
- **users are trusted (must be trusted) not to disclose secret information outside of the computer system**
- **subjects are not trusted because they may have Trojan Horses embedded in the code they execute**
- **star-property prevents overt leakage of information and does not address the covert channel problem**

BIBA MODEL

- **Lattice of integrity labels**

$$\Omega = \{\omega_1, \omega_2, \dots, \omega_q\}$$

- **Assignment of integrity labels**

$$\omega: \text{SUB} \cup \text{OBJ} \rightarrow \Omega$$

- **M, an $m \times n$ current access matrix with**

- $r \in M[i,j] \Rightarrow r \in D[i,j] \wedge \omega(S_i) \leq \omega(O_j)$ **simple integrity**
- $w \in M[i,j] \Rightarrow w \in D[i,j] \wedge \omega(S_i) \geq \omega(O_j)$ **integrity confinement**

EQUIVALENCE OF BLP AND BIBA

- **Information flow in the Biba model is from top to bottom**
- **Information flow in the BLP model is from bottom to top**
- **Since top and bottom are relative terms, the two models are fundamentally equivalent**

EQUIVALENCE OF BLP AND BIBA

HI (High Integrity)



LI (Low Integrity)

BIBA LATTICE



LI (Low Integrity)



HI (High Integrity)

EQUIVALENT BLP LATTICE

EQUIVALENCE OF BLP AND BIBA

HS (High Secrecy)



LS (Low Secrecy)

BLP LATTICE



LS (Low Secrecy)



HS (High Secrecy)

EQUIVALENT BIBA LATTICE

COMBINATION OF DISTINCT LATTICES

HS

HI



LS

LI

 \Rightarrow

HS, LI

HS, HI

LS, LI

LS, HI

BLP

BIBA

GIVEN

EQUIVALENT BLP LATTICE

BLP AND BIBA

- **BLP and Biba are fundamentally equivalent and interchangeable**
- **Lattice-based access control is a mechanism for enforcing one-way information flow, which can be applied to confidentiality or integrity goals**
- **We will use the BLP formulation with high confidentiality at the top of the lattice, and high integrity at the bottom**

LIPNER'S LATTICE

S: System Managers
O: Audit Trail

S: System Control

S: Repair
S: Production Users
O: Production Data

S: Application Programmers
O: Development Code and Data

S: System Programmers
O: System Code in Development

O: Repair Code

O: Production Code

O: Tools

O: System Programs

LEGEND
S: Subjects
O: Objects

LIPNER'S LATTICE

- **Lipner's lattice uses 9 labels from a possible space of 192 labels (3 integrity levels, 2 integrity compartments, 2 confidentiality levels, and 3 confidentiality compartments)**
- **The single lattice shown here can be constructed directly from first principles**

LIPNER'S LATTICE

- **The position of the audit trail at lowest integrity demonstrates the limitation of an information flow approach to integrity**
- **System control subjects are exempted from the star-property and allowed to**
 - **write down (with respect to confidentiality)****or equivalently**
 - **write up (with respect to integrity)**

DYNAMIC LABELS IN BLP

- **Tranquility (most common):
 λ is static for subjects and objects**
- **BLP without tranquility may be secure or insecure depending upon the specific dynamics of labelling**
- **Noninterference can be used to prove the security of BLP with dynamic labels**

DYNAMIC LABELS IN BLP

- **High water mark on subjects:**
 λ is static for objects
 λ may increase but not decrease for subjects

Is secure and is useful

- **High water mark on objects:**
 λ is static for subjects
 λ may increase but not decrease for subjects

**Is insecure due to disappearing object
signaling channel**