

INFS 767 Fall 2003

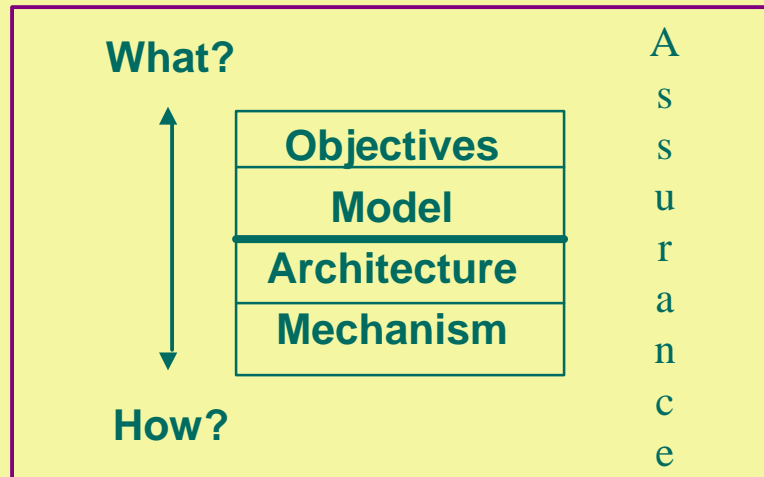
RBAC Architectures and Mechanisms

Prof. Ravi Sandhu

AUTHORIZATION, TRUST AND RISK

- ❖ **Information security is fundamentally about managing**
 - **authorization and**
 - **trust**
- so as to manage risk**

THE OM-AM WAY



LAYERS AND LAYERS

- ❖ Multics rings
- ❖ Layered abstractions
- ❖ Waterfall model
- ❖ Network protocol stacks
- ❖ Napoleon layers
- ❖ RoFi layers
- ❖ OM-AM
- ❖ etcetera

OM-AM AND MANDATORY ACCESS CONTROL (MAC)

What?



How?

No information leakage

Lattices (Bell-LaPadula)

Security kernel

Security labels

A
s
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OM-AM AND DISCRETIONARY ACCESS CONTROL (DAC)

What?



How?

Owner-based discretion

numerous

numerous

ACLs, Capabilities, etc

A
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OM-AM AND ROLE-BASED ACCESS CONTROL (RBAC)

What?



Objective neutral
RBAC96, ARBAC97, etc.
user-pull, server-pull, etc.
certificates, tickets, PACs, etc.

How?

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DISTRIBUTED RBAC (DRBAC) CASE STUDY

- ❖ Approximately a dozen physical sites
- ❖ Approximately 2-3 simulation models/site
- ❖ Fewer than 100 roles structured in a very shallow hierarchy
 - A subset of roles is used in any single simulation model
- ❖ Fewer than 100 users
- ❖ A user uses only one role at a time
 - Convenient but not critical
- ❖ Moderate rate of change

DISTRIBUTED RBAC (DRBAC) CASE STUDY

- ❖ **Permission-role assignment**

- **Locally determined at each simulation model**

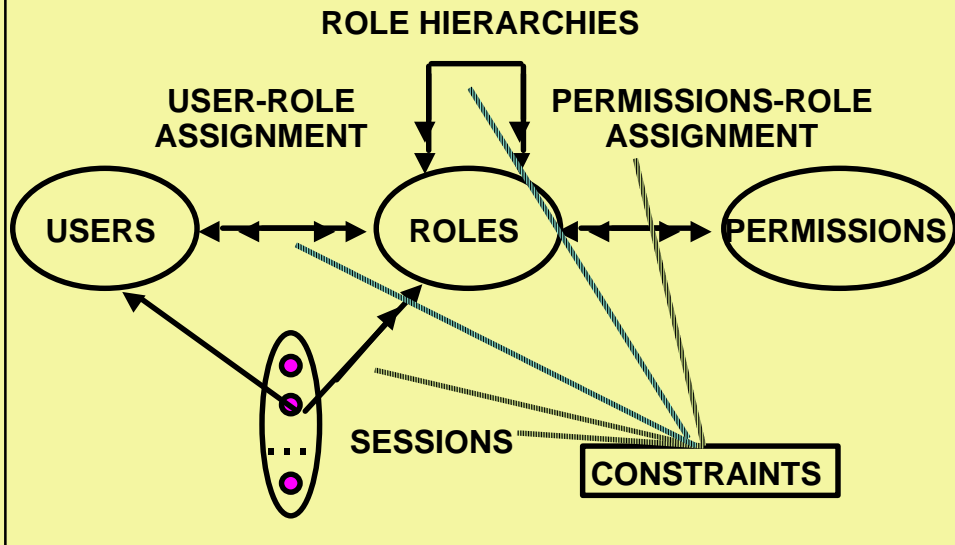
- ❖ **User-role assignment**

- **A user can be assigned to a role if and only if all simulation models using that role agree**
- **A user is revoked from a role if and only if any simulation model using that role revokes the user**

DISTRIBUTED RBAC (DRBAC) CASE STUDY

- ❖ **Each simulation model has a security administrator role authorized to carry out these administrative tasks**
- ❖ **A simulation model can assign permissions to a role X at any time**
 - **even if X is previously unused in that simulation model**
- ❖ **Consequently any simulation model can revoke any user from any role!**

RBAC3



MODEL CUSTOMIZATION

- ❖ Each session has a single role
- ❖ $SM = \{sm1, \dots, smk\}$, simulation models
- ❖ $OP = \{op1, \dots, opl\}$, operations
- ❖ $P = SM \times OP$, permissions
- ❖ $SMA = \{sma1, \dots, smk\}$, administrative roles
- ❖ $R \subset SMA = \mathcal{A}$
- ❖ Admin: $SM \ll SMA$

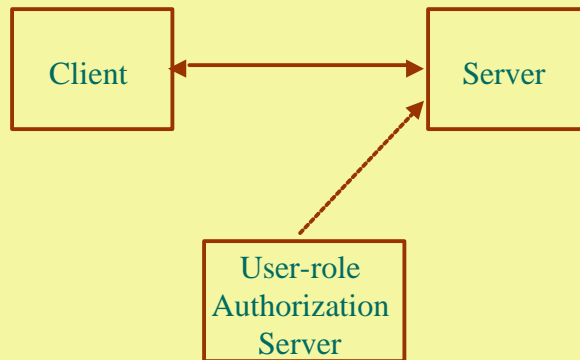
MODEL CUSTOMIZATION

- ❖ **Can formalize the administrative rules given earlier**
- ❖ **For each simulation model designate a unique user to be the chief security administrator who is authorized to assign and revoke users from the security administrator role for that model**

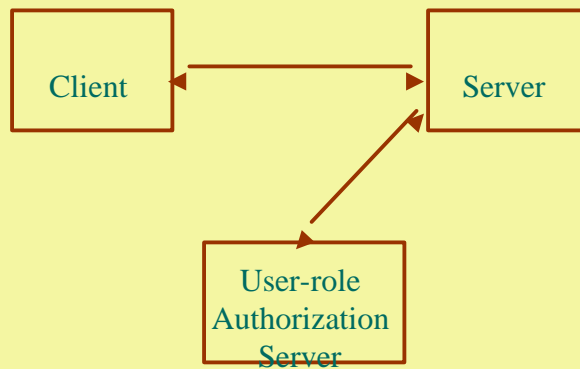
DRBAC ARCHITECTURES

- ❖ **Permission-role**
 - **Enforced locally at each simulation model**
- ❖ **Permission-role administration**
 - **Enforced locally at each simulation model**
 - **May need to communicate to other simulation models**
- ❖ **User-role**
 - **See following slides**
- ❖ **User-role administration**
 - **Centralized or decentralized**

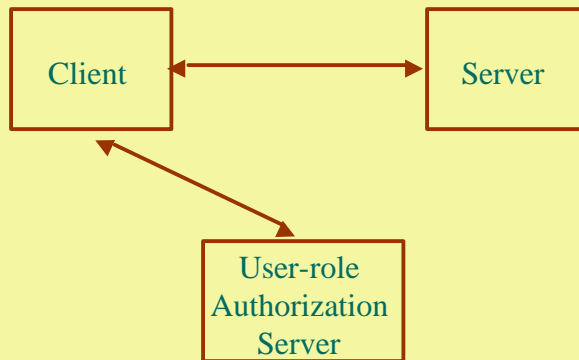
SERVER MIRROR



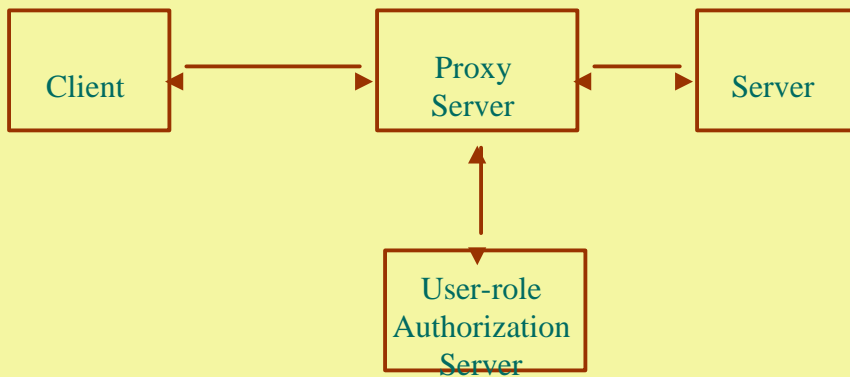
SERVER-PULL



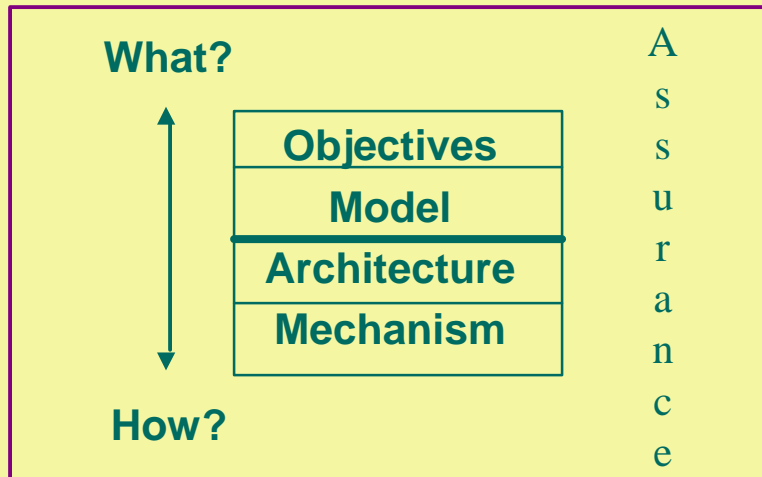
USER-PULL



PROXY-BASED



THE OM-AM WAY



Secure Attribute Services on the Web

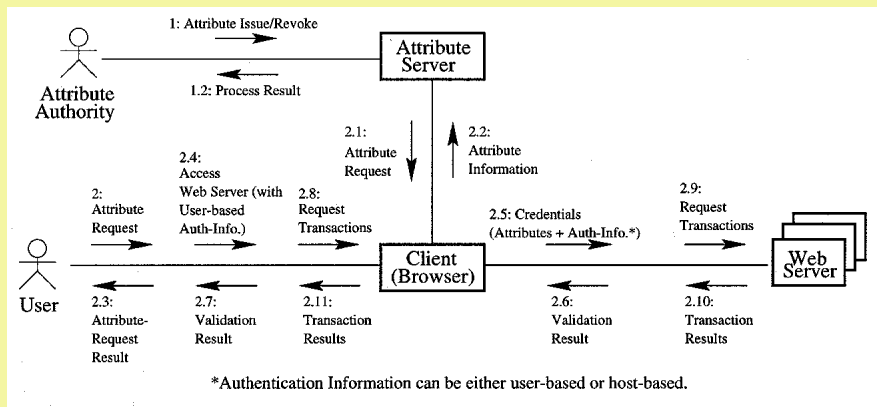
❖ WWW (World Wide Web)

- widely used for electronic commerce and business
- supports synthesis of technologies
- mostly, Web servers use identity-based access control
 - scalability problem

Background

- ❖ **An attribute**
 - a particular property of an entity
 - e.g., role, identity, SSN, clearance, etc.
- ❖ **If attributes are provided securely,**
 - Web servers can use those attributes
 - e.g., authentication, authorization, access control, electronic commerce, etc.
- ❖ **A successful marriage of the Web and secure attribute services is required**

User-Pull Architecture



User-Pull Architecture

❖ Each user

- pulls appropriate attributes from the Attribute Server
- presents attributes and authentication information to Web servers

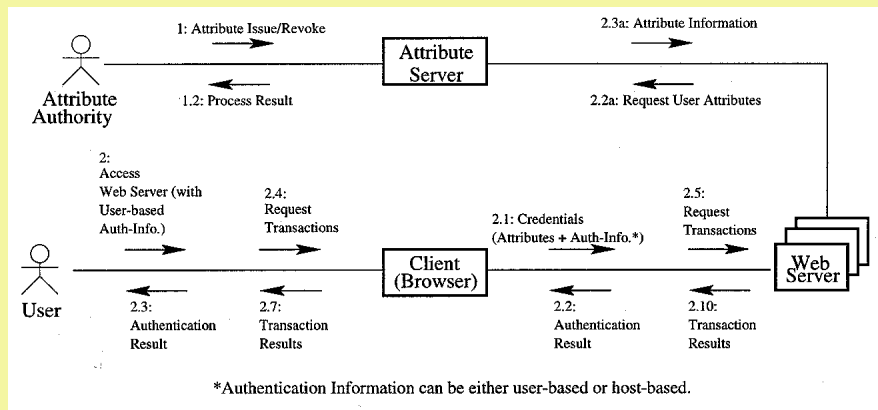
❖ Each Web server

- requires both identification and attributes from users

❖ High performance

- No new connections for attributes

Server-Pull Architecture



Related Technologies

❖ Cookies

- in widespread current use for maintaining state of HTTP
- becoming standard
- not secure

❖ Public-Key Certificates (X.509)

- support security on the Web based on PKI
- standard
- simply, bind users to keys
- have the ability to be extended

Cookies

	Domain	Flag	Path	Cookie_Name	Cookie_Value	Secure	Date
Cookie 1	acme.com	TRUE	/	Name	Alice	FALSE	12/31/99
⋮			⋮		⋮		
Cookie n	acme.com	TRUE	/	Role	manager	FALSE	12/31/99

Security Threats to Cookies

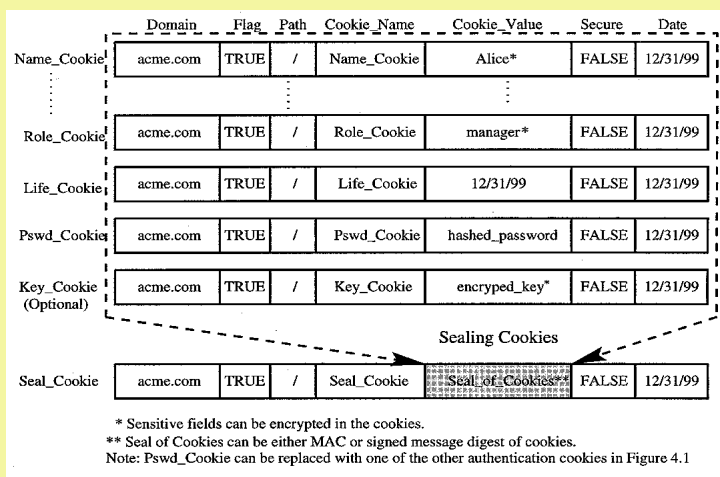
❖ Cookies are not secure

- No authentication
- No integrity
- No confidentiality

❖ can be easily attacked by

- Network Security Threats
- End-System Threats
- Cookie Harvesting Threats

Secure Cookies on the Web

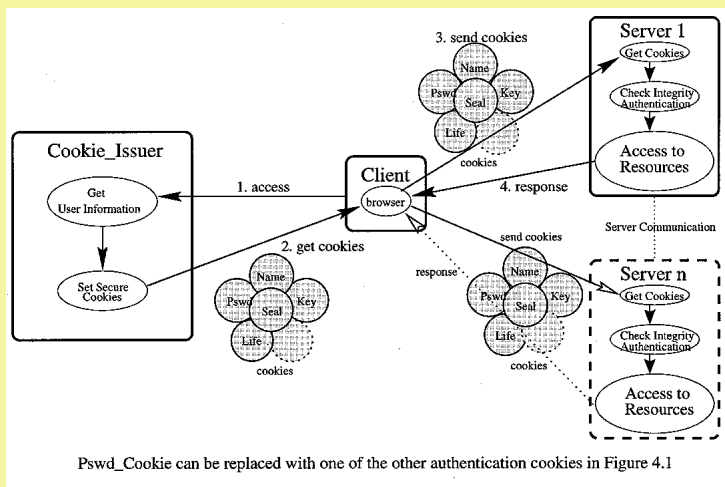


A Set of Secure Cookies

```
Text Editor V3.5.1 - cookies.txt, dir: /home/jpark/netscape
File View Edit Find
# Netscape HTTP Cookie File
# http://www.netscape.com/newsref/std/cookie_spec.html
# This is a generated file! Do not edit.

list.gmu.edu TRUE / FALSE 918302568 Name Alice
list.gmu.edu TRUE / FALSE 918302568 Role Manager
list.gmu.edu TRUE / FALSE 918302567 Password
hEVDNMBB1eJQrMEBAqCS8TzT2/NHvn/xrkRsq/fRMSV3klUTEVkJz0IrX44nXvfrs+Hd8RkRafTzEs7BPZ
1JP0bjmCcJm2SFS/AmRS5vpgAAACAKDLPf3bII8CFFZ+p11VFU0qK1cTJHnLaiUoWybbI/oQ==7ebQ
list.gmu.edu TRUE / FALSE 918302570 IP 129.174.144.88
list.gmu.edu TRUE / FALSE 918302564 Seal
oNEB1qB1/4KAVQMFADaUGLMDwEGV41CEYQEEPOAB/23HFSXnp2Ajl4w3DleySn+MWKaf21qgOngQrRDE/
qQvJhf5v08dEPf1I16SUS0sAvBiN0bRAX8sr77N3KaFJ36sMGIic2VjH150eHQAAAAAYjF1ZnMzMzUwZT
syNjI2NzAxOQY4NmFhMDQ2YTVhNDcgCg==dAnF
```

How to Use Secure Cookies



Applications of Secure Cookies

- ❖ User Authentication
- ❖ Electronic Transaction
- ❖ Eliminating Single-Point Failure
- ❖ Pay-per-Access
- ❖ Attribute-based Access Control

Authentication Cookies

	Domain	Flag	Path	Cookie_Name	Cookie_Value	Secure	Date
IP_Cookie	acme.com	TRUE	/	IP_Cookie	129.174.100.88	FALSE	12/31/99
Pswd_Cookie	acme.com	TRUE	/	Pswd_Cookie	hashed_password	FALSE	12/31/99
KT_Cookie	acme.com	TRUE	/	Kerberos_Ticket	{Alice, K _{cs} }K _s	FALSE	12/31/99
Sign_Cookie	acme.com	TRUE	/	Sign_Cookie	Signature_of_Alice	FALSE	12/31/99

Server-Pull Architecture

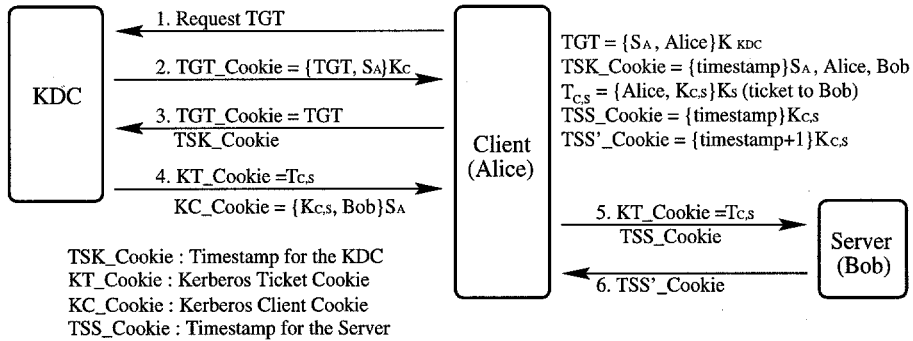
- ❖ **Each user**
 - presents only authentication information to Web servers
- ❖ **Each Web server**
 - pulls users' attributes from the Attribute Server
- ❖ **Authentication information and attribute do not go together**
- ❖ **More convenient for users**
- ❖ **Less convenient for Web servers**

Secure Cookies for Electronic Transactions

	Domain	Flag	Path	Cookie_Name	Cookie_Value	Secure	Date
Name_Cookie	acme.com	TRUE	/	Name_Cookie	Alice*	FALSE	12/31/99
Card_Cookie	acme.com	TRUE	/	Card_Cookie	number::123456789*&exp_date::Jan.2000*	FALSE	12/31/99
Coupon_Cookie	acme.com	TRUE	/	Coupon_Cookie	ID::123&off::10%*valid_date::05/07/99*	FALSE	12/31/99
Life_Cookie	acme.com	TRUE	/	Life_Cookie	12/31/99	FALSE	12/31/99
Pswd_Cookie	acme.com	TRUE	/	Pswd_Cookie	hashed_password	FALSE	12/31/99
Key_Cookie	acme.com	TRUE	/	Key_Cookie	encryped_key*	FALSE	12/31/99
Sealing Cookies							
Seal_Cookie	acme.com	TRUE	/	Seal_Cookie	Seal of Cookies	FALSE	12/31/99

* Sensitive fields can be encrypted in the cookies.
 ** Seal of Cookies can be either MAC or signed message digest of cookies.
 Note: Pswd_Cookie can be replaced with one of the other authentication cookies in Figure 4.1

Kerberos-Based Authentication by Secure Cookies



Secure Cookies for Pay-Per-Access

	Domain	Flag	Path	Cookie_Name	Cookie_Value	Secure	Date
Name_Cookie	acme.com	TRUE	/	Name_Cookie	Alice*	FALSE	12/31/99
Ticket_Cookie	acme.com	TRUE	/	Ticket_Cookie	ID::456&Hours::10* valid_date::05/07/99	FALSE	12/31/99
Life_Cookie	acme.com	TRUE	/	Life_Cookie	12/31/99	FALSE	12/31/99
Pswd_Cookie	acme.com	TRUE	/	Pswd_Cookie	hashed_password	FALSE	12/31/99
Key_Cookie	acme.com	TRUE	/	Key_Cookie	encrypted_key*	FALSE	12/31/99
Seal_Cookie	acme.com	TRUE	/	Seal_Cookie	Seal_of_Cookies**	FALSE	12/31/99

* Sensitive fields can be encrypted in the cookies.

** Seal of Cookies can be either MAC or signed message digest of cookies.

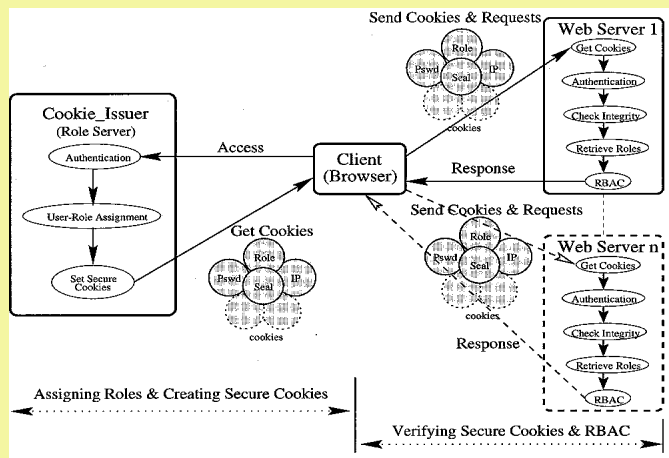
Note: Pswd_Cookie can be replaced with one of the other authentication cookies in Figure 4.1

Secure Cookies for RBAC

	Domain	Flag	Path	Cookie_Name	Cookie_Value	Secure	Date
Name_Cookie	acme.com	TRUE	/	Name	Alice	FALSE	12/31/99
Role_Cookie	acme.com	TRUE	/	Role	Manager	FALSE	12/31/99
Life_Cookie	acme.com	TRUE	/	Life_Cookie	12/31/99	FALSE	12/31/99
Pswd_Cookie	acme.com	TRUE	/	Pswd_Cookie	Encrypted_Passwords*	FALSE	12/31/99
IP_Cookie	acme.com	TRUE	/	IP_Cookie	129.174.142.88	FALSE	12/31/99
Cookie_Issuer Signs on the Cookies							
Seal_Cookie	acme.com	TRUE	/	Seal_Cookie	Digital_Signature	FALSE	12/31/99

* Hash of the passwords is an alternative as the content of the Pswd_Cookie.

RBAC on the Web by Secure Cookies



X.509 Certificate

- ❖ **Digitally signed by a certificate authority**
 - to confirm the information in the certificate belongs to the holder of the corresponding private key
- ❖ **Contents**
 - version, serial number, subject, validity period, issuer, optional fields (v2)
 - subject's public key and algorithm info.
 - extension fields (v3)
 - digital signature of CA
- ❖ **Binding users to keys**
- ❖ **Certificate Revocation List (CRL)**

X.509 Certificate

Certificate Content:

```
Certificate:
  Data:
    Version: v3 (0x2)
    Serial Number: 5 (0x5)
    Signature Algorithm: PKCS #1 MD5 With RSA Encryption
    Issuer: CN=data.list.gmu.edu, OU=LIST, O=GMU, C=US
    Validity:
      Not Before: Tue Feb 09 03:10:38 1999
      Not After: Wed Feb 09 03:10:38 2000
    Subject: CN=admin.list.gmu.edu, OU=LIST, O=GMU, C=US
    Subject Public Key Info:
      Algorithm: PKCS #1 RSA Encryption
      Public Key:
        Modulus:
          00:bc:d7:fc:4f:29:a4:29:a5:21:be:69:47:4d:55:db:37:50:
          18:2b:6e:3e:b0:85:3e:0f:86:0f:be:58:2b:c9:d3:dc:bc:03:
          bc:86:44:cd:f4:18:9d:51:9e:c6:f9:c5:ab:b0:9d:88:5b:53:
          b7:08:2f:86:64:cb:c2:7b:60:36:87
          Public Exponent: 65537 (0x10001)
    Extensions:
      Identifier: Certificate Type
      Critical: no
      Certified Usage:
        SSL Client
      Identifier: Authority Key Identifier
      Critical: no
      Key Identifier:
        a5:d7:08:bc:ff:07:bd:5a:d4:8d:d4:68:53:07:4b:af:81:90:
        f0:4d
    Signature:
      Algorithm: PKCS #1 MD5 With RSA Encryption
      Signature:
        11:ca:b1:94:14:fb:67:a2:ad:90:f1:ee:88:24:a8:d3:fd:5c:75:34:fc:
        c1:68:23:e6:12:19:3a:5c:45:52:af:51:a0:2f:44:96:f8:2e:1f:75:9a:
        4b:9c:ed:2a:45:2e:db:c8:9c:56:1a:e1:75:0a:8e:bf:f8:44:b8:94:31:
        d8
```

Smart Certificates

❖ Short-Lived Lifetime

➤ More secure

- typical validity period for X.509 is months (years)
- users may leave copies of the corresponding keys behind
- the longer-lived certificates have a higher probability of being attacked

➤ No Certificate Revocation List (CRL)

- simple and less expensive PKI

Smart Certificates

❖ Containing Attributes Securely

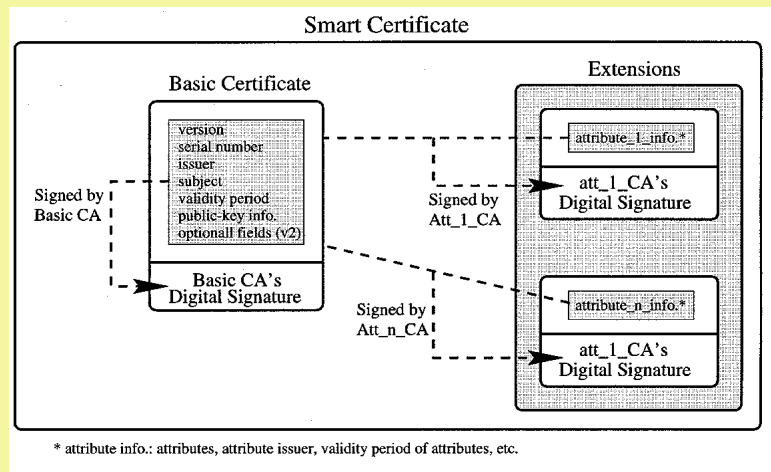
➤ Web servers can use secure attributes for their purposes

➤ Each authority has independent control on the corresponding information

- basic certificate (containing identity information)
- each attribute can be added, changed, revoked, or re-issued by the appropriate authority
 - e.g., role, credit card number, clearance, etc.

➤ Short-lived certificate can remove CRLs

Separate CAs in a Certificate



Smart Certificates

❖ Postdated Certificates

- The certificate becomes valid at some time in the future
- possible to make a smart certificate valid for a set of duration
- supports convenience

❖ Confidentiality

- Sensitive information can be
 - encrypted in smart certificates
 - e.g. passwords, credit card numbers, etc.

A Smart Certificate

Certificate Content:

```
Certificate:
Data:
  Version: v3 (0x2)
  Serial Number: 26 (0x1a)
  Signature Algorithm: PKCS #1 MD5 With RSA Encryption
  Issuer: CN=data.list.gmu.edu, OU=LIST, O=GMU, C=US
  Validity:
    Not Before: Sun May 02 17:25:31 1999
    Not After: Mon May 03 01:25:31 1999
  Subject: CN=Alice List, UID=alice, OU=LIST, O=GMU, C=US
  Subject Public Key Info:
    Algorithm: PKCS #1 RSA Encryption
    Public Key:
      Modulus:
        00:9d:31:41:cf:45:d3:25:10:41:b3:ca:23:f6:09:91:ad:3d:
        2d:c0:62:e1:ff:24:43:fe:39:90:c0:13:03:11:b5:77:ec:79:
        17:b8:53:be:aa:36:4e:29:08:9b:76:64:b7:97:94:19:06:a7:
        7a:b2:9b:31:f3:b5:72:3f:04:8f:17
      Public Exponent: 65537 (0x10001)
  Extensions:
    Identifier: Certificate Type
    Critical: no
    Certified Usage:
      SSL Client
      Secure E-mail
    Identifier: role
    Critical: no
    Value: hEwDNMBDleJQrWEBAgCS8TzT2/NMvn/xrkRsq/FRMSV3k1UTEYk2oI
    Identifier: Authority Key Identifier
    Critical: no
    Key Identifier:
      a5:d7:08:bc:ff:07:bd:5a:d4:8d:d4:68:53:87:4b:af:81:90:
      f0:4d
  Signature:
    Algorithm: PKCS #1 MD5 With RSA Encryption
    Signature:
      c7:39:f7:b8:59:19:52:1c:fc:08:7c:11:f6:6e:5a:07:5b:55:80:a5:d8:
      65:a4:40:dc:06:5e:e4:ff:96:ad:71:9b:21:7a:4b:be:50:48:c2:f1:a6:
      7c:16:12:61:c7:bf:57:07:6d:c5:f4:f0:c2:e1:62:27:f6:d6:ae:09:77:
      46
```

Applications of Smart Certificates

- ❖ On-Duty Control
- ❖ Compatible with X.509
- ❖ User Authentication
- ❖ Electronic Transaction
- ❖ Eliminating Single-Point Failure
- ❖ Pay-per-Access
- ❖ Attribute-based Access Control

Injecting RBAC to Secure a Web-based Workflow System

Gail-Joon Ahn and Ravi Sandhu
George Mason University

Myong Kang and Joon Park
Naval Research Laboratory

WORKFLOW MANAGEMENT SYSTEMS

- ❑ **Control and coordinate processes that may be processed by different processing entities**
- ❑ **Received much attention**
- ❑ **Marriage with Web technology**
- ❑ **Minimal security services**

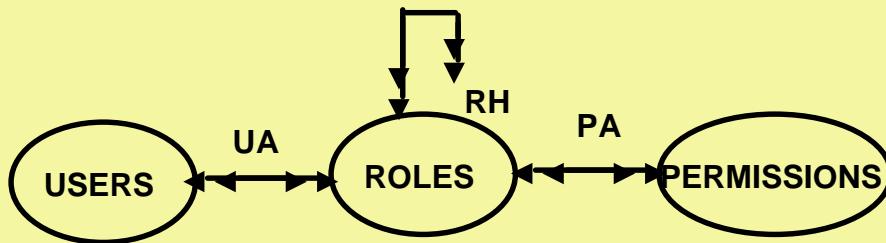
OBJECTIVE

- **Inject role-based access control (RBAC) into an existing web-based workflow system**

WHY RBAC?

- **A mechanism which allows and promotes an organization-specific access control policy based on roles**
- **Has become widely accepted as the proven technology**

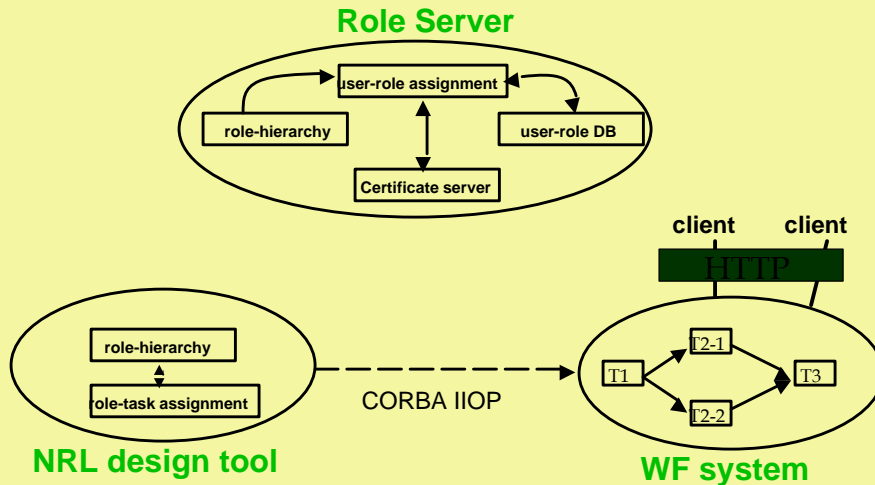
SIMPLIFIED RBAC MODEL



ROLE-BASED SECURE WORKFLOW SYSTEM

- Workflow Design Tool
- Workflow (WF) System
- Role Server

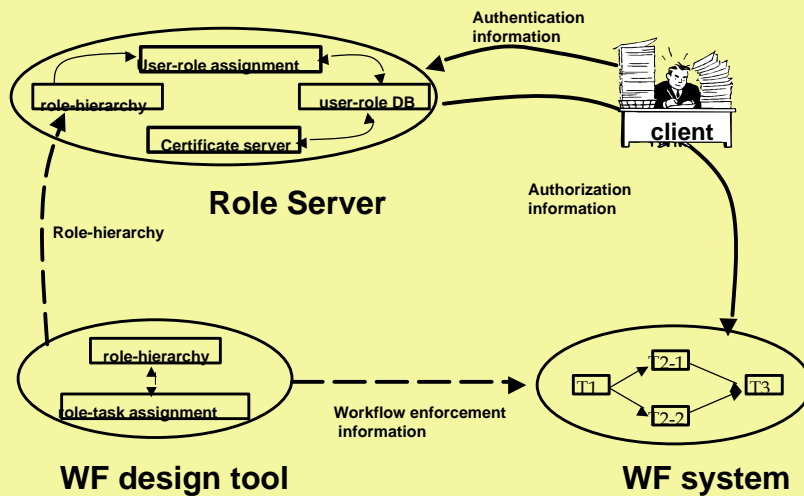
BASIC COMPONENTS



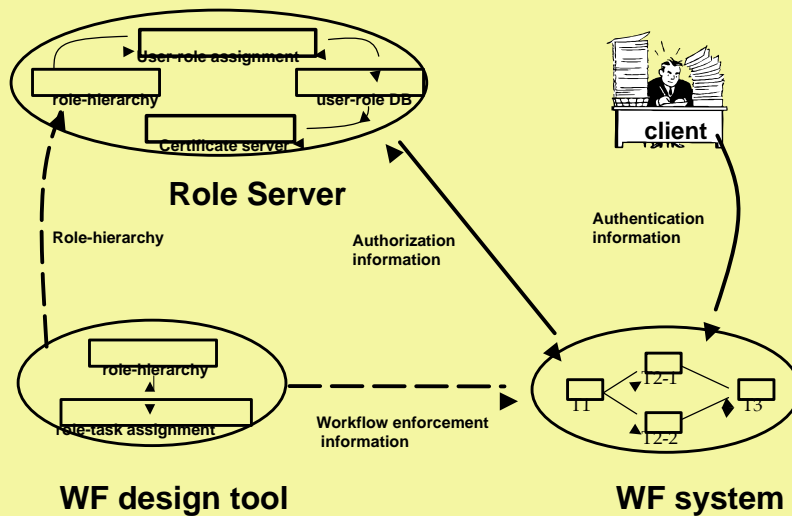
ARCHITECTURES

- ❑ **USER-PULL STYLE**
- ❑ **SERVER-PULL STYLE**

USER-PULL STYLE



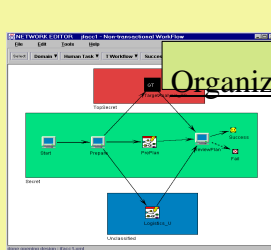
SERVER-PULL STYLE



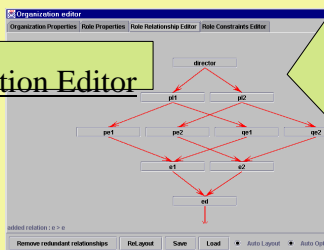
NRL (Naval Research Lab.) DESIGN TOOL

- design workflow model
- create role and role hierarchies
- assign role to task
- exporting role hierarchies to role server

NRL DESIGN TOOL (Cont'd)



Organization Editor



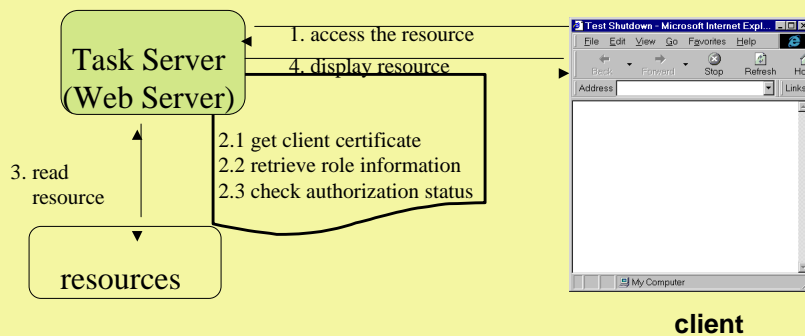
Platform: Windows NT, JDK1.2

```
<?xml version="1.0"?>
<!--URA Revision: 1
Mon Dec 07 15:59:28 EDT 1999-->
<!DOCTYPE Organization SYSTEM
"./dtd/Organization.dtd">
<Organization id="Organization_URA">
  <Name>URA</Name>
  <Description></Description>
  <Role id="director">
    <Name>director</Name>
    <Description></Description>
    <Privileges></Privileges>
    <LowRoleList>
      <RoleReference>
        <Link idref="pl1"/>
      </RoleReference>
      <RoleReference>
        <Link idref="pl2"/>
      </RoleReference>
    </LowRoleList>
    <HighRoleList>
      <RoleReference>
        <Link idref="pl1"/>
      </RoleReference>
    </HighRoleList>
  </Role>
</Organization>
```

WORKFLOW SYSTEM

- each task server is web server
- user should present client authentication certificate
- user's privilege is authorized by content of certificate (specially client's role information)

ROLE AUTHORIZATION ON WORKFLOW SYSTEM



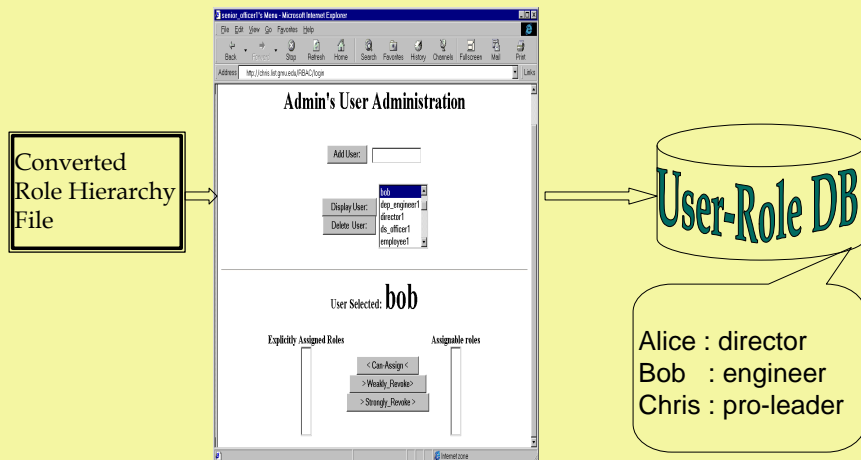
ROLE SERVER

- **User Role Assignment**
- **Certificate Server**

USER ROLE ASSIGNMENT

- **maintain role hierarchies and user database**
- **assign users to roles**
- **generate user-role database**

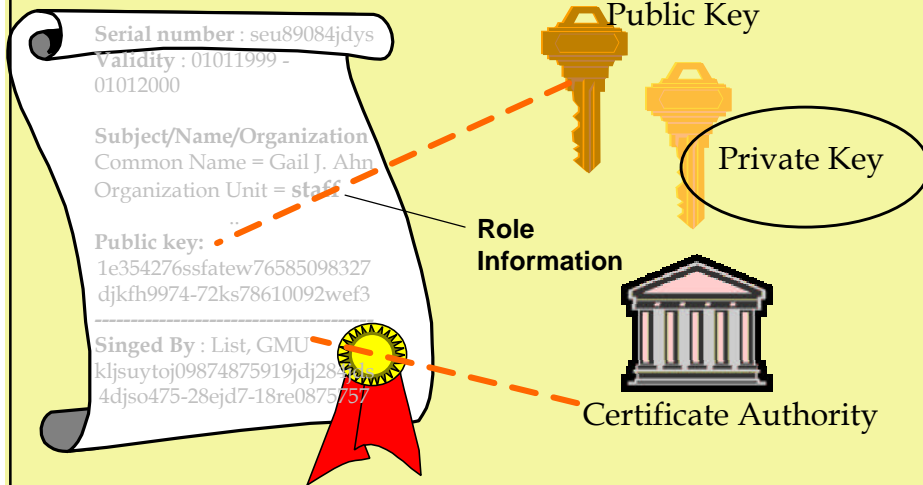
USER ROLE ASSIGNMENT (Cont'd)



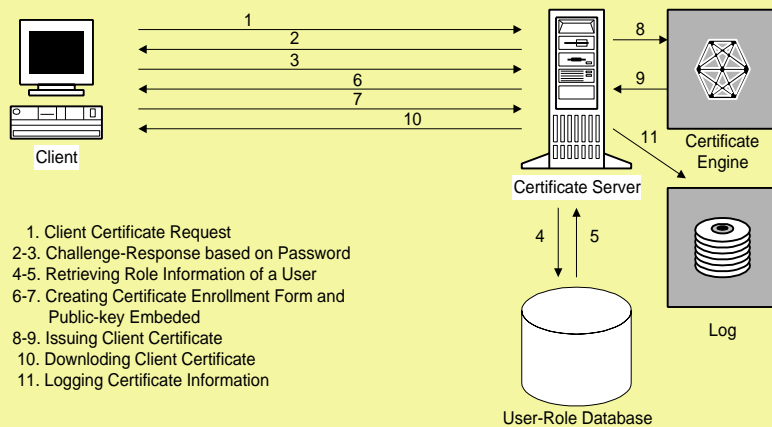
CERTIFICATE SERVER

- ❑ **authenticate client**
- ❑ **retrieve client's role information from user-role database**
- ❑ **issue certificate with client's role information**

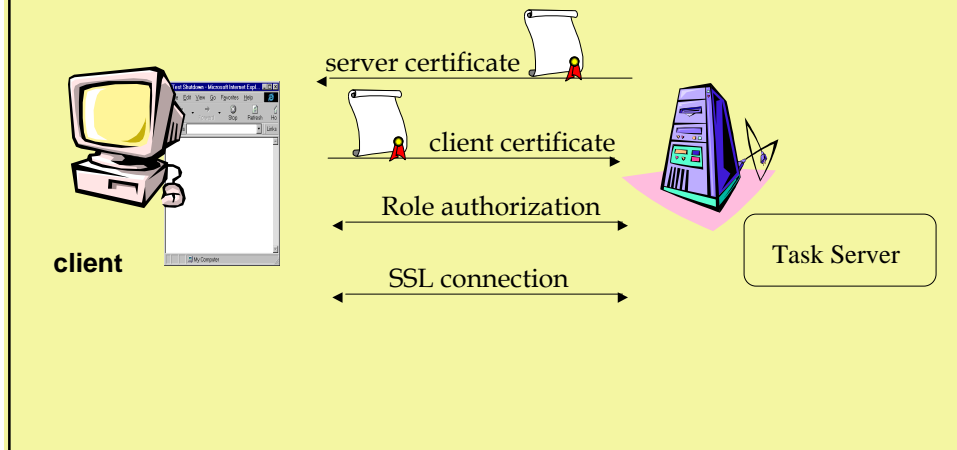
X.509 CERTIFICATE



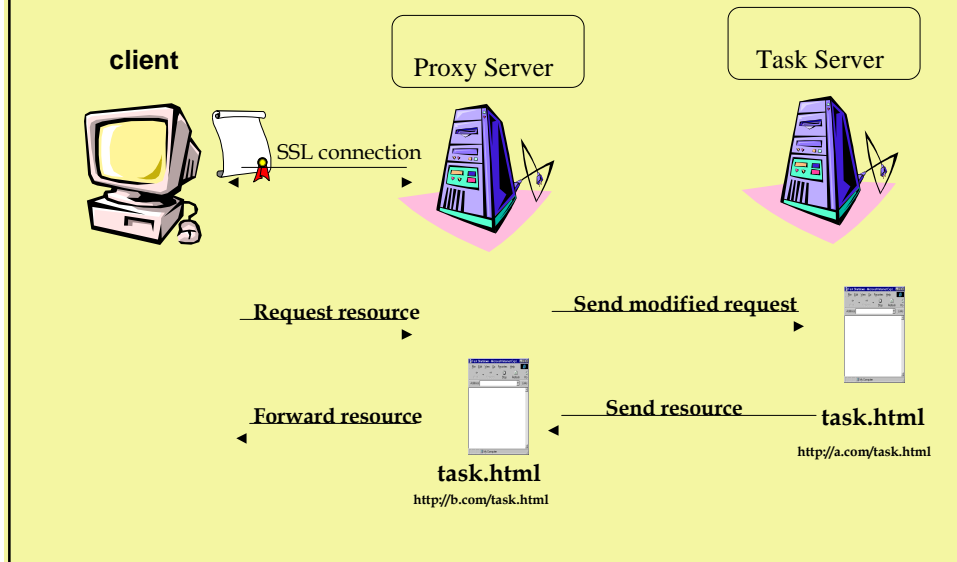
CERTIFICATE ISSUE



CERTIFICATE AUTHORIZATION OVER SSL



REVERSE PROXYING (MINIMAL CHANGES IN SERVER SIDE)



FINAL SCENARIO

